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Log Out	Summary Review	e Editing			
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## Dynamics of SIS–Epidemic model with Competition Involving Fractional-Order Derivative With Power-Law Kernel

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#### Abstract

Infectious disease and competition play important roles in the dynamics of a population due to their capability to increase the mortality rate for each organism. In this paper, the dynamical behaviors of a single species population are studied by considering the existence of the infectious disease, intraspecific competition, and interspecific competition. The fractional-order derivative with a power-law kernel is utilized to involve the impact of the memory effect. The population is divided into two compartments namely the susceptible class and the infected class. The existence, uniqueness, non-negativity, and boundedness of the solution are investigated to confirm the biological validity. Three types of feasible equilibrium points are identified namely the origin, the disease-free, and the endemic points. All biological conditions which present the local and global stability are investigated. The global sensitivity analysis is given to investigate the most influential parameter to the basic reproduction number and the density of each class. Some numerical simulations including bifurcation diagrams and time series are also portrayed to explore more the dynamical behaviors.

Keywords: Infectious Disease, Competition, Fractional Derivative, Caputo Operator, Dynamical Behaviors

#### 1 1. Introduction

The spread of infectious disease still becomes a fundamental issue not only because of the existence of the 2 population but also to maintain the balance of biological systems. Several scientific methods are developed 3 to discover better ways to suppress and control the rate of disease infection [1]. The preferred ways for the 4 last decades for this epidemiological problems are given by mathematical approach using a deterministic 5 model which is considered efficacious to understand the mechanisms of disease transmission and evaluate 6 the appropriate control strategies [2-4]. The fundamental one which has become the basis of epidemiological modeling is given by [5] which develops the continuous-time deterministic model using first-order derivative as 8 the operator. This model is successfully developed in couple of ways such as the continuous-time single species 9 epidemiological modeling with first-order derivative [6–9], the discrete-time single species epidemiological 10 modeling [10-12], the stochastic single-species epidemiological modeling [13, 14], and the continuous-time 11 eco-epidemiological modeling [15–17]. 12

Apart from those operators, several researchers prefer to use the fractional-order derivative to accomplish their problems the biological modeling. See [18–20] and references therein for some examples in epidemiological modeling. The fractional-order derivative is chosen by considering the capability of this operator to describe the current state of the biological object as the impact of all of its previous conditions

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which are known as the memory effect [21, 22]. In the epidemiological model, the transmission of disease 17 may slow down and be forestalled by the susceptible population as the impact of the memory [23]. Some 18 fractional-order derivative has been developed and successfully applied in epidemiological modeling such 19 as the Riemann-Liouville, Caputo, Caputo-Fabrizio, and Atangana-Baleanu [24–27]. From all of the given 20 operators, the Caputo fractional-order derivative has the complete tools for dynamical analysis such as the 21 existence and uniqueness, non-negativity and boundedness, local dynamics, global dynamics, and some bi-22 furcation analysis. Consequently, the Caputo operator will be used in this paper where defined later in the 23 next section. 24

In this work, we develop the epidemiological model based on the SIR model given by [5]. For single-species 25 conditions, this model is only popular for the infectious diseases that appeared in the human population. 26 In facts, infectious diseases also threaten the existence of the animal population which disturbs the balance 27 of the ecosystem. For examples, the infectious diseases in endemic species such as Orangutans [28], Tarsius 28 [29], Sumatran Tiger [30], and Komodo dragon [31]. Moreover, the natural behaviors of animals that 29 endanger the existence of their populations are the intraspecific competition among them to preserve their 30 food sources [32-34]. For these reasons, developing and investigating the dynamics of the epidemiological 31 model by considering the impact of intraspecific competition and the memory effect are critical issues that 32 become the novelty of our research. 33

The whole of this paper is organized in the following procedure: In Section 2, the mathematical modeling consists of model formulation, existence, uniqueness, non-negativity, and boundedness are given. The analytical results including the existence of equilibrium points and their local and global dynamics are completely investigated in Section 3. To show the most influential parameter of the model, the global sensitivity analysis is provided by Section 4. Some numerical simulations as well as bifurcation diagrams and time-series are presented in Section 5 to explore more about the dynamical behaviors of the model. This work ends by giving a conclusion in Section 6.

#### 41 2. Mathematical Modeling

This section studies about mathematical modeling consisting of the model formulation, existence, unique-42 ness, non-negativity, and boundedness of solution. The mathematical model is constructed by a deterministic 43 approach using a differential equation. We first give some assumptions to restrain the model so it does not 44 get too complicated. We next interpret the giving assumptions to the mathematical formula using the first-45 order derivative as the operator. A diagram is presented to show the impact of each assumption on the 46 flow of population density for each compartment. To involve the impact of the memory effect, the Caputo 47 fractional-order derivative is applied to the model. For the mathematical model's validity, we show that the 48 solution of the model always exists, unique, non-negative, and bounded. 49

#### 50 2.1. Model Formulation

In this work, the model is constructed from a single population growth model. We first assume there exists a population in a habitat that grows proportionally to its density and bounded due to the intraspecific competition. Let N(t) be the population at time t, r is the birth rate,  $\mu$  is the natural death rate, and  $\omega$  is the death rate as a result of competition. Thus, we have a first-order differential equation as follows.

$$\frac{dN}{dt} = (r - \mu)N - \omega N^2.$$
(1)

<sup>55</sup> Next, we assume that the population is exposed by infectious disease. The population N is divided into two <sup>56</sup> compartments namely the susceptible class (S) and infected class (I) where N = S + I. The susceptible class <sup>57</sup> is infected by disease bilinearly with infection rate  $\beta$ . The competition is divided into two cases namely the <sup>58</sup> intraspecific competition for each susceptible and infected class, and the interspecific competition between <sup>59</sup> susceptible and infected classes. As result, the following model is received.

$$\frac{dS}{dt} = (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI,$$

$$\frac{dI}{dt} = (\beta - \omega_4)SI - \omega_3 I^2 - \mu I,$$
(2)

where  $\omega_i$ , i = 1, 2 respectively denote the death rate of the susceptible population as the results of intraspecific and interspecific competitions between susceptible and susceptible classes, and susceptible and infected classes. The parameters  $\omega_i$ , i = 3, 4 denote the death rate of the infected population as the result of competition between infected and infected classes, and susceptible and infected classes. In our works, we also assume that each organism has the capability to survive the disease. Thus, we define  $\eta$  as the recovery rate. Since each organism that survives from the disease has a chance to be re-infected, this type of population will be each organism that survives from the disease has a chance to be re-infected, this type of population

<sup>66</sup> will be again susceptible. Finally, we have a mathematical model as follows.

$$\frac{dS}{dt} = (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I,$$

$$\frac{dI}{dt} = (\beta - \omega_4)SI - \omega_3 I^2 - (\eta + \mu)I.$$
(3)

<sup>67</sup> All of the given assumptions and their mathematical modeling are described in Figure 1.



Figure 1: Compartment diagram of model (3)

Now, the Caputo fractional-order derivative will be applied in order to conduct the impact of the memory effect on the population growth rate. The similar procedure is adopted from [35]. The first-order derivatives on the left-hand side of model (3) are replaced by the Caputo fractional-order derivative defined as follows.

<sup>71</sup> **Definition 1.** [36] Suppose  $0 < \alpha \leq 1$ . The Caputo fractional derivative of order  $-\alpha$  is defined by

$$^{C}\mathcal{D}_{t}^{\alpha}f(t) = \frac{1}{\Gamma(1-\alpha)} \int_{0}^{t} (t-s)^{-\alpha} f'(s) ds, \qquad (4)$$

where  $t \ge 0, f \in C^n([0, +\infty), \mathbb{R})$ , and  $\Gamma$  is the Gamma function.

Applying Definition 1 to eq. (3), the following model is obtained.

$${}^{C}\mathcal{D}_{t}^{\alpha}S = (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I,$$

$${}^{C}\mathcal{D}_{t}^{\alpha}I = (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta+\mu)I.$$
(5)

<sup>74</sup> Since the given process above makes the dimension of time at the left-hand side become  $t^{\alpha}$ , some parameters

rs need to be rescaled so that there are no differences between the time's dimensions at the left-hand side with

<sup>76</sup> the right-hand side of model (5). By applying time rescale to some parameters, we have the model as follows.

$${}^{C}\mathcal{D}_{t}^{\alpha}S = (r^{\alpha} - \mu^{\alpha})S - \omega_{1}^{\alpha}S^{2} - (\omega_{2}^{\alpha} + \beta^{\alpha})SI + \eta^{\alpha}I,$$

$${}^{C}\mathcal{D}_{t}^{\alpha}I = (\beta^{\alpha} - \omega_{4}^{\alpha})SI - \omega_{3}^{\alpha}I^{2} - (\eta^{\alpha} + \mu^{\alpha})I.$$
(6)

 $\pi \quad \text{Let } r^{\alpha} = \hat{r}, \ \mu^{\alpha} = \hat{\mu}, \ \omega_{1}^{\alpha} = \hat{\omega}_{1}, \ \omega_{2}^{\alpha} = \hat{\omega}_{2}, \ \omega_{3}^{\alpha} = \hat{\omega}_{3}, \ \omega_{4}^{\alpha} = \hat{\omega}_{4}, \ \beta^{\alpha} = \hat{\beta}, \ \text{and} \ \eta^{\alpha} = \hat{\eta}. \ \text{Thus, we acquire}$ 

$${}^{C}\mathcal{D}_{t}^{\alpha}S = (\hat{r} - \hat{\mu})S - \hat{\omega_{1}}S^{2} - (\hat{\omega_{2}} + \hat{\beta})SI + \hat{\eta}I,$$

$${}^{C}\mathcal{D}_{t}^{\alpha}I = (\hat{\beta} - \hat{\omega_{4}})SI - \hat{\omega_{3}}I^{2} - (\hat{\eta} + \hat{\mu})I.$$
(7)

<sup>78</sup> For simplicity, by dropping . for each parameter, we obtain the final model as follows.

$${}^{C}\mathcal{D}_{t}^{\alpha}S = (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I = F_{1}(N(t)),$$
  
$${}^{C}\mathcal{D}_{t}^{\alpha}I = (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I = F_{2}(N(t)).$$
(8)

<sup>79</sup> Equation (8) is the final proposed model in this paper. Although model (8) seems classic and simple, <sup>80</sup> this model will be powerful to solve and investigate the existence of a closed population in a certain area <sup>81</sup> without any outside intervention. Our literature review also shows that the model (8) has heretofore never <sup>82</sup> been studied. Now, the basic properties of model (8) such as the existence uniqueness, non-negativity, and

<sup>83</sup> boundedness are investigated to confirm its biological validity.

#### <sup>84</sup> 2.2. Existence and Uniqueness

In this subsection, we will show that the model (8) has a unique solution. A similar manner given by

<sup>86</sup> [37] is used. Thus, the following theorem is presented to show the existence and uniqueness of the solution

 $^{87}$  of model (8).

**Theorem 1.** The model (8) with initial condition  $S(0) = S_0 \ge 0$  and  $I(0) = I_0 \ge 0$  has a unique solution.

Proof. Consider model (8) with positive initial condition with  $F : [0, \infty) \to \mathbb{R}^2$  where  $F(N) = (F_1(N), F_2(N))$ ,  $N \equiv N(t)$  and  $\theta \equiv \{(S, I) \in \mathbb{R}^2_+ : \max\{|S|, |I|\} \le M\}$  for sufficiently large M. Then, for any N = (S, I)and  $\bar{N} = (\bar{S}, \bar{I}), N, \bar{N} \in \theta$ , we have

$$\begin{split} \left\| F(N) - F(\bar{N}) \right\| &= \left| F_1(N) - F_1(\bar{N}) \right| + \left| F_2(N) - F_2(\bar{N}) \right| \\ &= \left| \left[ (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I \right] - \left[ (r - \mu)\bar{S} - \omega_1\bar{S}^2 - (\omega_2 + \beta)\bar{S}\bar{I} + \eta\bar{I} \right] \right| + \\ &+ \left| \left[ (\beta - \omega_4)SI - \omega_3I^2 - (\eta + \mu)I \right] - \left[ (\beta - \omega_4)\bar{S}\bar{I} - \omega_3\bar{I}^2 - (\eta + \mu)\bar{I} \right] \right| \\ &\leq (r + \mu) \left| S - \bar{S} \right| + \omega_1 \left| S^2 - \bar{S}^2 \right| + (\omega_2 + \beta) \left| SI - \bar{S}\bar{I} \right| + \eta \left| I - \bar{I} \right| + (\beta + \omega_4) \left| SI - \bar{S}\bar{I} \right| \\ &+ \omega_3 \left| I^2 - \bar{I}^2 \right| + (\eta + \mu) \left| I - \bar{I} \right| \\ &= (r + \mu) \left| S - \bar{S} \right| + \omega_1 \left| (S + \bar{S})(S - \bar{S}) \right| + (\omega_2 + \omega_4 + 2\beta) \left| I(S - \bar{S}) + \bar{S}(I - \bar{I}) \right| \\ &+ (2\eta + \mu) \left| I - \bar{I} \right| + \omega_3 \left| (I + \bar{I})(I - \bar{I}) \right| \\ &\leq (r + \mu) \left| S - \bar{S} \right| + 2\omega_1 M \left| S - \bar{S} \right| + (\omega_2 + \omega_4 + 2\beta) M \left| S - \bar{S} \right| \\ &+ (\omega_2 + \omega_4 + 2\beta) M \left| I - \bar{I} \right| + (2\eta + \mu) \left| I - \bar{I} \right| \\ &= \left[ (r + \mu) + 2\omega_1 M + (\omega_2 + \omega_4 + 2\beta) M \right] \left| S - \bar{S} \right| + \left[ (\omega_2 + \omega_4 + 2\beta) M + (2\eta + \mu) + 2\omega_3 M \right] \left| I - \bar{I} \right| \\ &\leq L \left\| N - \bar{N} \right\|, \end{split}$$

where  $L = (\omega_2 + \omega_4 + 2\beta) M + \mu + \max\{r + 2\omega_1 M, 2(\eta + \omega_3 M)\}$ . Therefore, F(N) stisfies the Lipschitz condition. Obeying Lemma 5 in [38], we conclude that model (8) with positive initial condition has a unique solution.

#### 95 2.3. Non-negativity and Boundedness

The non-negativity and boundedness properties of the solutions of the model (8) are given in the following theorem.

Theorem 2. All solution of the model (8), which start in  $\mathbb{R}^2_+ := \{(S,I) | S \ge 0, I \ge 0, (S,I) \in \mathbb{R}^2\}$  are uniformly bounded and non-negative.

**Proof.** To prove the boundedness of the solutions of the model (8), the same approach of [38] is adopted. Let consider the function N = S + I. Then,

$${}^{C}\mathcal{D}_{t}^{\alpha}N = {}^{C}\mathcal{D}_{t}^{\alpha}S + {}^{C}\mathcal{D}_{t}^{\alpha}I$$
  
=  $(r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I + (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I$   
=  $(r-\mu)S - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2} - \mu I.$ 

<sup>102</sup> Hence, for each  $\mu > 0$ ,

$${}^{C}\mathcal{D}_{t}^{\alpha}N + \mu N = (r - \mu)S - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2} - \mu I + \mu S + \mu I$$

$$= rS - \omega_1 S^2 - (\omega_2 + \omega_4) SI - \omega_3 I^2$$
  
$$= -\omega_1 \left(S - \frac{r}{2\omega_1}\right)^2 + \frac{r^2}{4\omega_1} - (\omega_2 + \omega_4) SI - \omega_3 I^2$$
  
$$\leq \frac{r^2}{4\omega_1}$$

By using the comparison theorem in [39], we obtain  $N(t) \leq N(0)E_{\alpha}(-\mu t^{\alpha}) + \frac{r^2}{4\omega_1}t^{\alpha}E_{\alpha,\alpha+1}(-\mu t^{\alpha})$ , where  $E_{\alpha}$  and  $E_{\alpha,\alpha+1}$  is the Mittag-Leffler function with one and two parameters. According to Lemma 5 and Corollary 6 in [39], we have  $N(t) \leq \frac{r^2}{4\mu\omega_1}$ , as  $t \to \infty$ . Therefore, all solutions of model (8) starting in  $\mathbb{R}^2_+$  are uniformly bounded in the region  $\Phi$ , where  $\Phi = \left\{ (S,I) \in \mathbb{R}^2_+ : S + I \leq \frac{r^2}{4\mu\omega_1} + \epsilon, \epsilon > 0 \right\}$  Next, we prove that all solutions of model (8) are non-negative. By model (8), we have  ${}^C\mathcal{D}^{\alpha}_t S|_{S=0} = \eta I \geq 0$  and  ${}^{C}\mathcal{D}^{\alpha}_t I|_{I=0} = 0 \geq 0$ . Based on Lemmas 5 and 6 in [40], we conclude that the solutions of model (8) are non-negative.

#### 110 3. Analytical Results

In this section, the dynamics of model (8) are shown analytically including the existence of equilibrium points, and their local and global stability.

#### 113 3.1. Existence of Equilibrium Points

<sup>114</sup> To find the equilibrium points of model (8), we must have

$$[(r - \mu) - \omega_1 S - (\omega_2 + \beta)I]S + \eta I = 0,$$
(9)

$$[(\beta - \omega_4)S - \omega_3 I - (\eta + \mu)]I = 0.$$
(10)

If I = 0 is substituted to (9), we obtain

$$[(r - \mu) - \omega_1 S] S = 0. \tag{11}$$

From eq. (11), we get S = 0 and  $S = \frac{r-\mu}{\omega_1}$ . Thus, we have two equilibrium points here namely  $\mathcal{E}_0 = (0,0)$ , 116 and  $\mathcal{E}_A = \left(\frac{r-\mu}{\omega_1}, 0\right)$ . The equilibrium point  $\mathcal{E}_0$  is called the origin point which represents the extinction of 117 both susceptible and infected populations. Since  $\mathcal{E}_0 \in \mathbb{R}^2_+$ , this equilibrium point always exists. Furthermore, 118 the equilibrium point  $\mathcal{E}_A$  is called the disease-free equilibrium point (DFEP) which describes the condition 119 where the infectious disease does not exist anymore in the population. According to the biological condition, 120 it is natural that the birth rate r is greater than its death rate  $\mu$ . By assuming  $r > \mu$ , the origin point 121  $\mathcal{E}_A \in \mathbb{R}^2_+$  also always exists. By simple calculation, we also obtain the basic reproduction number  $\mathcal{R}_0$  given 122 123 by

$$\mathcal{R}_0 = \frac{(r-\mu)\beta}{(r-\mu)\omega_4 + (\eta+\mu)\omega_1}.$$
(12)

The basic reproduction number is utilized to show the dynamical behavior of each equilibrium point and to describe whether the infectious disease becomes endemic or not. Since  $r > \mu$ , the value of  $\mathcal{R}_0$  is always positive. Now, let's concern the eq. (9) and (10). By solving eq. (10), we attain

$$S = \frac{\omega_3 I + (\eta + \mu)}{\beta - \omega_4}.$$
(13)

<sup>127</sup> If we substitute eq. (13) to (9), the following polynomial equation holds.

$$k_1 I^2 + k_2 I + k_3 = 0, (14)$$

128 where

$$k_{1} = ((\beta - \omega_{4})(\beta + \omega_{2}) + \omega_{1}\omega_{3})\omega_{3},$$
  

$$k_{2} = (\beta - \omega_{4})((\beta + \omega_{2})\mu + (\omega_{2} + \omega_{4})\eta - (r - \mu)\omega_{3}) + 2(\eta + \mu)\omega_{1}\omega_{3},$$
  

$$k_{3} = \frac{(1 - \mathcal{R}_{0})(r - \mu)(\eta + \mu)\beta}{\mathcal{R}_{0}}.$$

<sup>129</sup> Therefore, we acquire the endemic point (EEP)

$$\mathcal{E}_{I} = \left(\frac{\omega_{3}\bar{\gamma} + (\eta + \mu)}{\beta - \omega_{4}}, \bar{\gamma}\right),\tag{15}$$

where  $\bar{\gamma}$  is the positive root of polynomial equation (14). From (15), we find that  $\beta > \omega_4$  must be fulfilled so that  $\mathcal{E}_I \in \mathbb{R}^2_+$ . Moreover, EEP exists if  $\bar{\gamma} > 0$ . From eq. (14), we have  $k_1$  is always positive. Thus, the value of the  $\bar{\gamma}$  depends on  $k_2$  and  $k_3$ . Furthermore, eq. (14) has real number roots if  $k_2^2 \ge 4k_1k_3$ . By applying simple algebra, if  $k_3 > 0$  and  $k_2 < 0$  then we have two positive roots of eq. (14), if  $k_3 > 0$  and  $k_2 > 0$  then we do not have any positive roots of eq. (14), and if  $k_3 < 0$  then we have a positive root of eq. (14). Finally, we have the following theorem.

**Theorem 3.** Let  $\beta > \omega_4$ . The existence of EEP  $\mathcal{E}_I$  is shown by the following statement.

(i) If  $k_2^2 < 4k_1k_3$  then  $\mathcal{E}_I$  does not exist. 137 (*ii*) If  $k_2^2 = 4k_1k_3$  and 138 (ii.i) if  $k_2 > 0$  then  $\mathcal{E}_I$  does not exist. 139 (ii.ii) if  $k_2 < 0$  then  $\mathcal{E}_I$  exists and unique. 140 (*iii*) If  $k_2^2 > 4k_1k_3$  and 141 (iii.i) if  $k_3 > 0$  and  $k_2 < 0$  then we have a pair of  $\mathcal{E}_I$ . 142 (iii.ii) if  $k_3 > 0$  and  $k_2 > 0$  then  $\mathcal{E}_I$  does not exist. 143 (iii.iii) if  $k_3 < 0$  then  $\mathcal{E}_I$  exists and unique. 144

Denote that  $k_2^2 > 4k_1k_3$  is always satisfied and  $k_3 < 0$  for  $\mathcal{R}_0 > 1$ , then the following lemma holds.

Lemma 4. EEP  $\mathcal{E}_I$  exists and unique if  $\mathcal{R}_0 > 1$ .

147 3.2. Local Dynamics

The local dynamics of model (8) are obtained by applying the Matignon condition which is defined as follows.

**Theorem 5.** [Matignon condition [36]] An equilibrium point  $\vec{x}^*$  is locally asymptotically stable (LAS) if all eigenvalues  $\lambda_j$  of the Jacobian matrix  $J = \frac{\partial \vec{f}}{\partial \vec{x}}$  at  $\vec{x}^*$  satisfy  $|\arg(\lambda_j)| > \frac{\alpha \pi}{2}$ . If there exists at least one eigenvalue satisfy  $|\arg(\lambda_k)| > \frac{\alpha \pi}{2}$  while  $|\arg(\lambda_l)| < \frac{\alpha \pi}{2}$ ,  $k \neq l$ , then  $\vec{x}^*$  is a saddle-point.

Therefore, to study the local dynamics of model (8), we first compute its Jacobian matrix at the point (S, I) which gives

$$\mathcal{J}(S,I) = \begin{bmatrix} (r-\mu) - 2\omega_1 S - (\omega_2 + \beta)I & -(\omega_2 + \beta)S + \eta \\ (\beta - \omega_4)I & (\beta - \omega_4)S - 2\omega_3I - (\eta + \mu) \end{bmatrix}.$$
(16)

Obeying Theorem 5 and using Jacobian matrix (16), we discuss the local stability for each equilibrium point in the next subsection.

#### 157 3.3. Dynamical behavior around $\mathcal{E}_0$

LAS condition of  $\mathcal{E}_0$  is obtained by identifying the eigenvalues of the Jacobian matrix (16) at the point (S, I) = (0, 0). We receive

$$\mathcal{J}(S,I)|_{\mathcal{E}_0} = \left[ \begin{array}{cc} r-\mu & \eta \\ 0 & -(\eta+\mu) \end{array} \right].$$

Therefore, we have  $\lambda_1 = r - \mu$  and  $\lambda_2 = -(\eta + \mu)$ . Since  $r > \mu$  and  $\lambda_2 < 0$ , we have  $|\arg(\lambda_1)| = 0 < \frac{\alpha \pi}{2}$ and  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$ . According to Theorem 5, the following theorem holds.

<sup>162</sup> **Theorem 6.** The origin point  $\mathcal{E}_0$  is always a saddle point.

<sup>163</sup> 3.4. Dynamical behavior around  $\mathcal{E}_A$ 

For  $(x, y) = \left(\frac{r-\mu}{\omega_1}, 0\right)$ , the Jacobian matrix (16) becomes

$$\mathcal{J}(S,I)|_{\mathcal{E}_A} = \begin{bmatrix} -(r-\mu) & \eta - \frac{(\omega_2+\beta)(r-\mu)}{\omega_1} \\ 0 & \frac{(\mathcal{R}_0-1)(r-\mu)\beta}{\omega_1\mathcal{R}_0} \end{bmatrix}$$

which gives a pair of eigenvalues  $\lambda_1 = -(r-\mu)$  and  $\lambda_2 = \frac{(\mathcal{R}_0-1)(r-\mu)\beta}{\omega_1 \mathcal{R}_0}$ . Denote  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$  as the impact of  $\lambda_1 < 0$ . Hence, the sign of  $\lambda_2$  takes the role in describing local dynamics around  $\mathcal{E}_A$ . To obtain  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$ , we need  $\lambda_2 < 0$  which is fulfilled if  $\mathcal{R}_0 < 1$ . If  $\mathcal{R}_0 > 1$  then  $|\arg(\lambda_2)| = 0 < \frac{\alpha \pi}{2}$ . Following the Matignon condition given in Theorem 5, the following theorem is successfully attained.

**Theorem 7.** If  $\mathcal{R}_0 < 1$  then  $\mathcal{E}_A$  is LAS and a saddle point if  $\mathcal{R}_0 > 1$ .

169 3.5. Dynamical behavior around  $\mathcal{E}_I$ 

To identify the local stability of  $\mathcal{E}_I$ , we first compute the Jacobian matrix (16) evaluated at  $\mathcal{E}_I$ . We generate

$$\mathcal{J}(S,I)|_{\mathcal{E}_{I}} = \begin{bmatrix} -\left[\frac{(\omega_{3}\bar{\gamma}+\eta+\mu)\omega_{1}}{\beta-\omega_{4}} + \frac{(\beta-\omega_{4})\eta\bar{\gamma}}{\omega_{3}\bar{\gamma}+\eta+\mu}\right] & -\frac{(\omega_{2}+\beta)(\omega_{3}\bar{\gamma}+\eta+\mu)}{\beta-\omega_{4}} + \eta \\ (\beta-\omega_{4})\bar{\gamma} & -\omega_{3}\bar{\gamma} \end{bmatrix}.$$
(17)

The eigenvalues of (17) are given by  $\lambda_1 = \frac{1}{2} \left( \xi_1 + \sqrt{\xi_1^2 - 4\xi_2} \right)$  and  $\lambda_2 = \frac{1}{2} \left( \xi_1 - \sqrt{\xi_1^2 - 4\xi_2} \right)$  where

$$\xi_{1} = -\left[\frac{(\omega_{3}\bar{\gamma}+\eta+\mu)\omega_{1}}{\beta-\omega_{4}} + \frac{(\beta-\omega_{4})\eta\bar{\gamma}}{\omega_{3}\bar{\gamma}+\eta+\mu} + \omega_{3}\bar{\gamma}\right],$$
  

$$\xi_{2} = \left[\left(\frac{\omega_{1}\omega_{3}}{\beta-\omega_{4}} + \omega_{2}+\beta\right)(\omega_{3}\bar{\gamma}+\eta+\mu) + \left(\frac{\omega_{3}\bar{\gamma}}{\omega_{3}\bar{\gamma}+\eta+\mu} + 1\right)(\beta-\omega_{4})\eta\right]\bar{\gamma}.$$

It is easy to proof that  $\xi_1 < 0$  and  $\xi_2 > 0$  since  $\beta > \omega_4$  becomes the existence condition. As the impact,  $|\arg(\lambda_i)| > \frac{\alpha \pi}{2}$ , i = 1, 2 and hence the LAS always hold for EEP. Thus, the following theorem holds.

175 **Theorem 8.** *EEP*  $\mathcal{E}_I$  *is always LAS.* 

#### 176 3.6. Global Dynamics

In this subsection, the global dynamics of model (8) are studied. The biological conditions of equilibrium points are investigated so that those points are globally asymptotically stable (GAS). Since the origin is always a saddle point, we focus on studying GAS conditions for DFEP and EEP. The next two theorems are given for the global dynamics.

**Theorem 9.** DFEP 
$$\mathcal{E}_A$$
 is GAS if  $\omega_1 > \frac{(\omega_2 + \beta)r}{\alpha_1}$ 

<sup>182</sup> **Proof.** We define a positive Lyapunov function as follows.

$$\mathcal{V}_A(S,I) = \left(S - \frac{r-\mu}{\omega_1} - \frac{r-\mu}{\omega_1} \ln \frac{\omega_1 S}{r-\mu}\right) + I.$$
(18)

<sup>183</sup> If we calculate the Caputo fractional derivative of  $\mathcal{V}_A(S, I)$  along the solution of model (8) and use Lemma <sup>184</sup> 3.1 in [41], we get

$${}^{C}\mathcal{D}_{t}^{\alpha}\mathcal{V}_{A}(S,I) = \left(\frac{S - \frac{r-\mu}{\omega_{1}}}{S}\right){}^{C}\mathcal{D}_{t}^{\alpha}S + {}^{C}\mathcal{D}_{t}^{\alpha}I$$
$$= -\omega_{1}\left(S - \frac{r-\mu}{\omega_{1}}\right)^{2} + \frac{(r-\mu)(\omega_{2}+\beta)I}{\omega_{1}} - \frac{(r-\mu)\eta I}{\omega_{1}S} - (\omega_{2}+\omega_{4})SI - \omega_{3}I^{2} - \mu I$$
$$\leq -\omega_{1}\left(S - \frac{r-\mu}{\omega_{1}}\right)^{2} - \left(\mu - \frac{(\omega_{2}+\beta)r}{\omega_{1}}\right)I$$

Since  $\omega_1 > \frac{(\omega_2 + \beta)r}{\mu}$ , we have  ${}^{C}\mathcal{D}_t^{\alpha}\mathcal{V}_A(S,I) \leq 0$  for all  $(S,I) \in \mathbb{R}^2_+$ , and  ${}^{C}\mathcal{D}_t^{\alpha}\mathcal{V}_A(S,I) = 0$  only when  $(S,I) = \left(\frac{r-\mu}{\omega_1},0\right)$ . This means that the singleton  $\{\mathcal{E}_A\}$  is the only invariant set where  ${}^{C}\mathcal{D}_t^{\alpha}\mathcal{V}_A(S,I) = 0$ . By Lemma 4.6 in [42], we can conclude that every solution of model (8) tends to DFEP  $\mathcal{E}_A$ .

189 **Theorem 10.** EEP  $\mathcal{E}_I$  is GAS if  $\frac{\omega_2}{2} + \frac{\omega_4}{2} + \frac{\eta}{2\vartheta} < \min \{\omega_1, \omega_3\}.$ 

**Proof.** We first define  $\vartheta = \frac{\omega_3 \bar{\gamma} + (\eta + \mu)}{\beta - \omega_4}$  and hence  $\mathcal{E}_I = (\vartheta, \bar{\gamma})$ . Now, a positive Lyapunov function is presented as follows.

$$\mathcal{V}_{I}(S,I) = \left(S - \vartheta - \vartheta \ln \frac{S}{\varphi}\right) + \left(I - \bar{\gamma} - \bar{\gamma} \ln \frac{S}{\bar{\gamma}}\right)$$
(19)

<sup>192</sup> Following Lemma 3.1 in [41], we reach

$${}^{C}\mathcal{D}_{t}^{\alpha}\mathcal{V}_{I}(S,I) = \left(\frac{S-\vartheta}{S}\right){}^{C}\mathcal{D}_{t}^{\alpha}S + \left(\frac{I-\bar{\gamma}}{I}\right){}^{C}\mathcal{D}_{t}^{\alpha}I$$

$$= (S-S^{*})\left((r-\mu) - \omega_{1}S - (\omega_{2}+\beta)I + \frac{\eta I}{S}\right) + (I-\bar{\gamma})\left((\beta-\omega_{4})S - \omega_{3}I - (\eta+\mu)\right)$$

$$= -\omega_{1}\left(S-\vartheta\right)^{2} - \omega_{3}\left(I-\bar{\gamma}\right)^{2} - (\omega_{2}+\omega_{4})\left(S-S^{*}\right)\left(I-\bar{\gamma}\right)$$

$$\leq -\left(\omega_{1} - \left(\frac{\omega_{2}}{2} + \frac{\omega_{4}}{2} + \frac{\eta}{2\vartheta}\right)\right)\left(S-\vartheta\right)^{2} - \left(\omega_{3} - \left(\frac{\omega_{2}}{2} + \frac{\omega_{4}}{2} + \frac{\eta}{2\vartheta}\right)\right)\left(I-\bar{\gamma}\right)^{2}$$

Denote that  ${}^{C}\mathcal{D}_{t}^{\alpha}\mathcal{V}_{I}(S,I) \leq 0$  for all  $(S,I) \in \mathbb{R}^{2}_{+}$  as a result of  $\frac{\omega_{2}}{2} + \frac{\eta}{2\vartheta} < \min \{\omega_{1}, \omega_{3}\}$ . We also have that  ${}^{C}\mathcal{D}_{t}^{\alpha}\mathcal{V}_{I}(S,I) = 0$  only when  $(S,I) = (\vartheta, \bar{\gamma})$ . Therefore, the singleton  $\{\mathcal{E}_{I}\}$  is the only invariant set where  ${}^{C}\mathcal{D}_{t}^{\alpha}\mathcal{V}_{I}(S,I) = 0$ . Obeying Lemma 4.6 in [42], every solution of model (8) tends to EEP  $\mathcal{E}_{I}$ .  $\Box$ 

#### <sup>196</sup> 4. Global Sensitivity Analysis

In this section, the global sensitivity analysis is studied to investigate the most influential parameters of model (8). Global sensitivity analysis is calculated using Partial Rank Coefficient Correlation (PRCC) [43], where the random data processed in PRCC is generated using Saltelli sampling [44]. Two biological components become the objective function for the PRCC namely the basic reproduction number ( $\mathcal{R}_0$ ) and the population density of infected class (I(t)). We first investigate the most influential parameter to the basic reproduction number ( $\mathcal{R}_0$ ). From eq. (12), we acquire that only r,  $\mu$ ,  $\omega_1$ ,  $\omega_4$ , and  $\eta$  have the influence



Figure 2: PRCC results for the parameters of  $\mathcal{R}_0$ 



Figure 3: Contour plots for the parameters respect to  $\mathcal{R}_0$ 

on the value of  $\mathcal{R}_0$ . The birth rate and the natural death rate also can be fixed since some cases in the 203 epidemiological model has the values of these parameters. Thus, only  $\beta$ ,  $\eta$ ,  $\omega_1$ , and  $\omega_4$  will be computed for 204 PRCC. The Figure 2 is given for the results. We have  $\beta = 0.763$ ,  $\omega_1 = -0.352$ ,  $\omega_4 = -0.33$ , and  $\eta = -0.277$ 205 as the coefficient correlation such that the infection rate ( $\beta$ ) becomes the most influential parameter to  $\mathcal{R}_0$ 206 and followed by  $\omega_1, \omega_4$ , and  $\eta$ , respectively. It shows that the infection rate ( $\beta$ ) as the most influential 207 parameter has a positive relationship with the basic reproduction number  $(\mathcal{R}_0)$  which means that  $\mathcal{R}_0$  will 208 significantly increases when  $\beta$  increases. The rest  $\omega_1, \omega_4$ , and  $\eta$  have a negative relationship with  $\mathcal{R}_0$  which 209 means that by reducing the value of those parameters, the basic reproduction number ( $\mathcal{R}_0$ ) will increases. 210 To show the impact of these parameters on  $\mathcal{R}_0$ , the contour plots are also portrayed in Figure 3. 211

Next, we identify the most influential parameter to the population density of infected class (I(t)). Quite similar to previous work, the value of r and  $\mu$  are fixed but the rest of the parameters are involved to



Figure 4: PRCC results for the parameters of I(t)

Table 1: PRCC results in respect to the population density of infected class

Parameter	Description	PRCC	Rank	Relationship with $I(t)$
$\omega_1$	The death rate of susceptible population due to the in-	-0.00851	6	Negative relationship
	traspecific competition			
$\omega_2$	The death rate of susceptible population due to the inter-	-0.01938	5	Negative relationship
	specific competition			
$\omega_3$	The death rate of infected population due to the intraspe-	-0.01990	4	Negative relationship
	cific competition			
$\omega_4$	The death rate of infected population due to the interspe-	-0.54635	1	Negative relationship
	cific competition			
$\beta$	The infection rate	0.54631	2	Positive relationship
$\eta$	The recovery rate	-0.43606	3	Negative relationship

compute PRCC. PRCC values are computed for  $0 \le t \le 50$  which is considered sufficient enough to see the 214 convergence for each parameter through the PRCC. We portray the PRCC results in Figure 4 while the 215 PRCC values, ranks, and the relationship between each parameter and I(t) are given in Table 1. From those 216 simulations, we conclude that the death rate of infected population due to interspecific competition between 217 susceptible and infected classes  $(\omega_4)$  become the most influential parameter to the population density (I(t))218 followed respectively by  $\beta$ ,  $\eta$ ,  $\omega_3$ ,  $\omega_2$ , and  $\omega_1$ . In the next section, the numerical simulations including 219 bifurcation diagram and time-series are presented to show the impact of the infection rate  $(\beta)$ , recovery 220 rate  $(\eta)$ , intraspecific competition ( $\omega_1$  and  $\omega_3$ ), and interspecific competition ( $\omega_2$  and  $\omega_4$ ) to the dynamical 221 behaviors of model (8). 222

#### 223 5. Numerical Simulations

In this section, the dynamical behaviors of model (8) including bifurcation diagram and time-series are studied numerically. To obtain the bifurcation diagram and the corresponding time-series of model (8), the predictor-corrector scheme developed by Diethelm et al. is employed [45]. Since the model does not investigate a specific epidemiological case, we use hypothetical parameters for all numerical simulations. we set the parameter values as follows.

$$r = 0.6, \ \mu = 0.1, \ \omega_1 = 0.1, \ \omega_2 = 0.1, \ \omega_3 = 0.1, \ \omega_4 = 0.1, \ \beta = 0.4, \ \eta = 0.2, \ \text{and} \ \alpha = 0.9$$
 (20)

We start our work by investigating the impact of infection rate ( $\beta$ ) on the dynamics of model (8). The value of  $\beta$  is varied in the interval  $0 \le \beta \le 1$  and we then compute the numerical solutions. To obtain the



Figure 5: Bifurcation diagram and times-series of model (8) driven by the infection rate ( $\beta$ ) with parameter values given by eq. (20)

bifurcation diagram, we plot the tail of solutions for each  $\beta$  together with the LAS condition of  $\mathcal{E}_A$ . As 231 result, we obtain a bifurcation diagram as in Figure 5a. When  $0 \le \beta < \beta^*$ ,  $\beta^* = 0.16$ , the EEP  $\mathcal{E}_I$  does not 232 exist and Theorem 7 is satisfied which means that DFE  $\mathcal{E}_A$  is LAS. The solution is convergent to  $\mathcal{E}_A$  which 233 indicates the population free from disease. When  $\beta$  passes through  $\beta^*$ ,  $\mathcal{E}_A$  losses its stability, and unique 234 LAS EEP  $\mathcal{E}_I$  occurs in the interior. The infectious disease becomes endemic in the population and still 235 exists for all  $t \to \infty$ . From the concatenation of those biological circumstances, we conclude that forward 236 bifurcation occurs around  $\mathcal{E}_A$  where  $\beta$  is the bifurcation parameter and  $\beta = \beta^*$  is the bifurcation point. It 237 is easy to examine that the bifurcation point  $\beta = \beta^*$  is equal to  $\mathcal{R}_0 = 1$ . The dynamical behaviors are 238 maintained for  $\beta^* < \beta \leq 1$ . To support these conditions, some time series are given in Figure 5b to show 239 the convergence of solutions for different values of  $\beta$ . 240

Next, the impact of recover rate  $(\eta)$  is studied. A similar numerical scheme as the previous way is applied. 241 To depicts the bifurcation diagram, the parameter is fixed as in eq. (20) and the recovery rate ( $\eta$ ) is varied 242 in interval  $0 \leq \eta \leq 1$ . We have Figure 6a as the result. Denote that the bifurcation does not exist for this 243 interval. Both DFEP and EEP exist with distinct stability. The DFEP  $\mathcal{E}_A$  is a saddle point while the EEP 24  $\mathcal{E}_I$  is LAS which confirm the validity of Theorems 6 and 7. We also confirm that the EEP  $\mathcal{E}_I$  attains GAS 245 which means that all initial conditions will go right to the EEP and the infectious disease will exist all the 246 time. Although the disease becomes endemic, the numerical simulation shows that the value of  $\eta$  is directly 247 248 proportional to S(t) and inversely proportional to I(t), see Figure 6b. This means the population density of the infected class can be reduced by increasing the recovery rate  $(\eta)$ . 249

For the next simulation, the impact of intraspecific competition is investigated. The death rate parame-



Figure 6: Bifurcation diagram and times-series of model (8) driven by the recovery rate  $(\eta)$  with parameter values given by eq. (20)

ters caused by intraspecific competition on susceptible and infected classes ( $\omega_1$  and  $\omega_3$ ) are varied in interval [0, 1]. It is found that forward bifurcation occurs when  $\omega_1$  is driven where the bifurcation point is given by  $\omega_1^* = 0.5$ , see Figure 7a. The population density of both susceptible and infected classes reduces when the death rate of S(t) due to intraspecific competition increases as given by Figure 7b. Particularly, Figure 8a shows that bifurcation does not exists in interval  $0 \le \omega_1 \le 1$  when  $\omega_1$  is varied but the dynamical behaviors show that S(t) increases and I(t) decrease when  $\omega_1$  increase. We confirm this condition by giving time-series in Figure 8b.

Now, we study the impact of interspecific competition on the dynamical behaviors of model (8). Both 258 susceptible and infected classes have died due to the existence of interspecific competition given by param-259 eters  $\omega_2$  and  $\omega_4$ . By varying  $\omega_2$  and  $\omega_4$  in interval [0, 1], we obtain Figures 9a and 10a as the bifurcation 260 diagram. We find forward bifurcation driven by  $\omega_4$  which does not exist when varying  $\omega_1$ . This means, the 261 EEP still exists and LAS for  $0 \le \omega_2 \le 1$ . The EEP will disappear via forward bifurcation and the saddle 262 DFEP becomes LAS when  $\omega_4$  crosses  $\omega_4^* = 0.34$ . This guarantees that the infectious disease may eliminate 263 the disease in population when the death rate of the infected population due to interspecific competition 264 increases as shown in Figure 10b. Although the disease does not disappear when  $\omega_2$  is driven, we also can 265 see in Figure 9b that by increasing  $\omega_2$ , the population density of the infected class will reduce and the 266 susceptible class will increase. 267

Finally, the impact of memory effect ( $\alpha$ ) is investigated. The numerical simulation is given by Figure 11. For  $\alpha = 0.7, 0.8, 0.9, 1$  and similar initial values, all solution converge to single equilibrium point given by  $\mathcal{E}_I \approx (1.3465, 1.0395)$ , see Figure 11(a,b). We then plot the local amplification to show the difference of



Figure 7: Bifurcation diagram and times-series of model (8) driven by the death rate of susceptible population due to intraspecific competition ( $\omega_1$ ) with parameter values given by eq. (20)

solutions when  $\alpha$  is varied. We find that the difference lies in the convergence rate where for larger values of  $\alpha$ , the convergence rate increase and vice versa as shown in Figure 11(e,f). In the beginning, Figure 11(c,d) we show that when  $\alpha$  decrease, the population density of the infected class reduce. From a biological point of view, we can say that biological memory has an impact on the density of both susceptible and infected classes.

#### 276 6. Conclusion

The dynamics of a fractional-order SIS-epidemic model with intraspecific and interspecific competition 277 have been studied. The validity of the model has been confirmed analytically by showing the existence, 278 uniqueness, non-negativity, and boundedness of solutions. Three equilibrium points have been obtained 279 namely the origin, the disease-free equilibrium point, and the endemic equilibrium point. Both origin and 280 disease-free equilibrium points always exist while the endemic equilibrium point conditionally exists. The 281 basic reproduction number  $\mathcal{R}_0$  has been given which has a relationship with the local stability of the model. 282 If  $\mathcal{R}_0 < 1$  then the disease-free equilibrium point is locally asymptotically stable and if  $\mathcal{R}_0 > 1$  then the 283 disease-free equilibrium point losses its stability along with the existence of a locally asymptotically stable 284 endemic equilibrium point. The global stability conditions of equilibrium points also have been found. The 285 PRCC has been worked to investigate the most influential parameter. We have successfully shown that the 286 infection rate and the death rate of the infected population due to interspecific competition becomes the 287 most influential parameter for basic reproduction number and the population density of the infected class. 288



(b) Time-series for  $\omega_3 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

Figure 8: Bifurcation diagram and times-series of model (8) driven by the death rate of infected population due to intraspecific competition  $(\omega_3)$  with parameter values given by eq. (20)

We then investigate the impact of several parameters using numerical simulations including the infection rate, the recovery rate, the intraspecific competition, the interspecific competition, and the memory effect on the dynamics of the model. Bifurcation diagrams and time series have been given which show the existence of forward bifurcation, the decrease of susceptible and infected classes, and the decrease of convergence rate caused by the memory effect.

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(b) Time-series for  $\omega_2 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

Figure 9: Bifurcation diagram and times-series of model (8) driven by the death rate of susceptible population due to interspecific competition ( $\omega_2$ ) with parameter values given by eq. (20)

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(b) Time-series for  $\omega_4 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

Figure 10: Bifurcation diagram and times-series of model (8) driven by the death rate of infected population due to interspecific competition ( $\omega_4$ ) with parameter values given by eq. (20)

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Figure 11: Time series of model (8) with parameter values given by eq. (20) for  $\alpha = 0.7, 0.8, 0.9, 1$ . (a,b) Time-series for  $0 \le t \le 500$ , (c,d) Local amplification of (a, b) around  $0 \le t \le 10$ , and (c,d) Local amplification of (a, b) around  $100 \le t \le 500$ 

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## **Article Confirmation**

## 4 October 2022 at 07:51 PM



### Manuscript ID: 7730

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**Ismail Djakaria** <iskar@ung.ac.id> Kepada: contact@scik.org 4 Oktober 2022 pukul 13.36

Dear Editor-in-Chief Communications in Mathematical Biology and Neuroscience

We apologize for the inconvenience. I am Ismail Djakaria, the corresponding author of the manuscript with ID: 7730 entitled:

"Dynamics of SIS-Epidemic model with Competition Involving Fractional-Order Derivative With Power-Law Kernel"

If you wish, please provide information on the progress of the submitted manuscript. The information you provide is precious to us.

Yours sincerely, Ismail Djakaria Universitas Negeri Gorontalo

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#### DYNAMICS OF SIS-EPIDEMIC MODEL WITH COMPETITION INVOLVING FRACTIONAL-ORDER DERIVATIVE WITH POWER-LAW KERNEL

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**Abstract.** Infectious disease and competition play important roles in the dynamics of a population due to their capability to increase the mortality rate for each organism. In this paper, the dynamical behaviors of a single species population are studied by considering the existence of the infectious disease, intraspecific competition, and interspecific competition. The fractional-order derivative with a power-law kernel is utilized to involve the impact of the memory effect. The population is divided into two compartments namely the susceptible class and the infected class. The existence, uniqueness, non-negativity, and boundedness of the solution are investigated to confirm the biological validity. Three types of feasible equilibrium points are identified namely the origin, the disease-free, and the endemic points. All biological conditions which present the local and global stability are investigated. The global sensitivity analysis is given to investigate the most influential parameter to the basic reproduction number and the density of each class. Some numerical simulations including bifurcation diagrams and time series are also portrayed to explore more the dynamical behaviors.

**Keywords:** Infectious Disease; Competition; Fractional Derivative; Caputo Operator; Dynamical Behaviors **2010 AMS Subject Classification:** 34A34, 92D30, 37N25, 37N30, 92B05.

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#### **1.** INTRODUCTION

The spread of infectious disease still becomes a fundamental issue not only because of the existence of the population but also to maintain the balance of biological systems. Several scientific methods are developed to discover better ways to suppress and control the rate of disease infection [1]. The preferred ways for the last decades for this epidemiological problems are given by mathematical approach using a deterministic model which is considered efficacious to understand the mechanisms of disease transmission and evaluate the appropriate control strategies [2–4]. The fundamental one which has become the basis of epidemiological modeling is given by [5] which develops the continuous-time deterministic model using first-order derivative as the operator. This model is successfully developed in couple of ways such as the continuous-time single species epidemiological modeling [10–12], the stochastic single-species epidemiological modeling [13, 14], and the continuous-time eco-epidemiological modeling [15–17].

Apart from those operators, several researchers prefer to use the fractional-order derivative to accomplish their problems the biological modeling. See [18–20] and references therein for some examples in epidemiological modeling. The fractional-order derivative is chosen by considering the capability of this operator to describe the current state of the biological object as the impact of all of its previous conditions which are known as the memory effect [21, 22]. In the epidemiological model, the transmission of disease may slow down and be forestalled by the susceptible population as the impact of the memory [23]. Some fractional-order derivative has been developed and successfully applied in epidemiological modeling such as the Riemann-Liouville, Caputo, Caputo-Fabrizio, and Atangana-Baleanu [24–27]. From all of the given operators, the Caputo fractional-order derivative has the complete tools for dynamical analysis such as the existence and uniqueness, non-negativity and boundedness, local dynamics, global dynamics, and some bifurcation analysis. Consequently, the Caputo operator will be used in this paper where defined later in the next section.

In this work, we develop the epidemiological model based on the SIR model given by [5]. For single-species conditions, this model is only popular for the infectious diseases that appeared in the human population. In facts, infectious diseases also threaten the existence of the animal population which disturbs the balance of the ecosystem. For examples, the infectious diseases in endemic species such as Orangutans [28], Tarsius [29], Sumatran Tiger [30], and Komodo dragon [31]. Moreover, the natural behaviors of animals that endanger the existence of their populations are the intraspecific competition among them to preserve their food sources [32–34]. For these reasons, developing and investigating the dynamics of the epidemiological model by considering the impact of intraspecific competition and the memory effect are critical issues that become the novelty of our research.

The whole of this paper is organized in the following procedure: In Section 2, the mathematical modeling consists of model formulation, existence, uniqueness, non-negativity, and boundedness are given. The analytical results including the existence of equilibrium points and their local and global dynamics are completely investigated in Section 3. To show the most influential parameter of the model, the global sensitivity analysis is provided

3

by Section 4. Some numerical simulations as well as bifurcation diagrams and time-series are presented in Section 5 to explore more about the dynamical behaviors of the model. This work ends by giving a conclusion in Section 6.

### **2.** MATHEMATICAL MODELING

This section studies about mathematical modeling consisting of the model formulation, existence, uniqueness, non-negativity, and boundedness of solution. The mathematical model is constructed by a deterministic approach using a differential equation. We first give some assumptions to restrain the model so it does not get too complicated. We next interpret the giving assumptions to the mathematical formula using the first-order derivative as the operator. A diagram is presented to show the impact of each assumption on the flow of population density for each compartment. To involve the impact of the memory effect, the Caputo fractional-order derivative is applied to the model. For the mathematical model's validity, we show that the solution of the model always exists, unique, non-negative, and bounded.

**2.1.** Model Formulation. In this work, the model is constructed from a single population growth model. We first assume there exists a population in a habitat that grows proportionally to its density and bounded due to the intraspecific competition. Let N(t) be the population at time t, r is the birth rate,  $\mu$  is the natural death rate, and  $\omega$  is the death rate as a result of competition. Thus, we have a first-order differential equation as follows.

(1) 
$$\frac{dN}{dt} = (r - \mu)N - \omega N^2.$$

Next, we assume that the population is exposed by infectious disease. The population N is divided into two compartments namely the susceptible class (S) and infected class (I) where N = S + I. The susceptible class is infected by disease bilinearly with infection rate  $\beta$ . The competition is divided into two cases namely the intraspecific competition for each susceptible and infected class, and the interspecific competition between susceptible and infected classes. As result, the following model is received.

(2)  
$$\frac{dS}{dt} = (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI,$$
$$\frac{dI}{dt} = (\beta - \omega_4)SI - \omega_3 I^2 - \mu I,$$

where  $\omega_i$ , i = 1, 2 respectively denote the death rate of the susceptible population as the results of intraspecific and interspecific competitions between susceptible and susceptible classes, and susceptible and infected classes. The parameters  $\omega_i$ , i = 3, 4 denote the death rate of the infected population as the result of competition between infected and infected classes, and susceptible and infected classes. In our works, we also assume that each organism has the capability to survive the disease. Thus, we define  $\eta$  as the recovery rate. Since each organism that survives from the disease has a chance to be re-infected, this type of population will be again susceptible. Finally, we have a mathematical model as follows.

$$\frac{dS}{dt} = (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I,$$
$$\frac{dI}{dt} = (\beta - \omega_4)SI - \omega_3 I^2 - (\eta + \mu)I.$$

All of the given assumptions and their mathematical modeling are described in Figure 1.



FIGURE 1. Compartment diagram of model (3)

Now, the Caputo fractional-order derivative will be applied in order to conduct the impact of the memory effect on the population growth rate. The similar procedure is adopted from [35]. The first-order derivatives on the left-hand side of model (3) are replaced by the Caputo fractional-order derivative defined as follows.

**Definition 1.** [36] Suppose  $0 < \alpha \le 1$ . The Caputo fractional derivative of order  $-\alpha$  is defined by

(4) 
$${}^{C}\mathscr{D}^{\alpha}_{t}f(t) = \frac{1}{\Gamma(1-\alpha)} \int_{0}^{t} (t-s)^{-\alpha} f'(s) ds$$

where  $t \ge 0, f \in C^n([0, +\infty), \mathbb{R})$ , and  $\Gamma$  is the Gamma function.

Applying Definition 1 to eq. (3), the following model is obtained.

(5)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I,$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I.$$

Since the given process above makes the dimension of time at the left-hand side become  $t^{\alpha}$ , some parameters need to be rescaled so that there are no differences between the time's dimensions at the left-hand side with the right-hand side of model (5). By applying time rescale to some parameters, we have the model as follows.

(6)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (r^{\alpha} - \mu^{\alpha})S - \omega_{1}^{\alpha}S^{2} - (\omega_{2}^{\alpha} + \beta^{\alpha})SI + \eta^{\alpha}I,$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\beta^{\alpha} - \omega_{4}^{\alpha})SI - \omega_{3}^{\alpha}I^{2} - (\eta^{\alpha} + \mu^{\alpha})I.$$

Let  $r^{\alpha} = \hat{r}, \mu^{\alpha} = \hat{\mu}, \omega_1^{\alpha} = \hat{\omega}_1, \omega_2^{\alpha} = \hat{\omega}_2, \omega_3^{\alpha} = \hat{\omega}_3, \omega_4^{\alpha} = \hat{\omega}_4, \beta^{\alpha} = \hat{\beta}, \text{ and } \eta^{\alpha} = \hat{\eta}$ . Thus, we acquire

(7)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (\hat{r} - \hat{\mu})S - \hat{\omega}_{1}S^{2} - (\hat{\omega}_{2} + \hat{\beta})SI + \hat{\eta}I,$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\hat{\beta} - \hat{\omega}_{4})SI - \hat{\omega}_{3}I^{2} - (\hat{\eta} + \hat{\mu})I.$$

(3)

For simplicity, by dropping . for each parameter, we obtain the final model as follows.

(8)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I = F_{1}(N(t)),$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I = F_{2}(N(t)).$$

Equation (8) is the final proposed model in this paper. Although model (8) seems classic and simple, this model will be powerful to solve and investigate the existence of a closed population in a certain area without any outside intervention. Our literature review also shows that the model (8) has heretofore never been studied. Now, the basic properties of model (8) such as the existence uniqueness, non-negativity, and boundedness are investigated to confirm its biological validity.

**2.2.** Existence and Uniqueness. In this subsection, we will show that the model (8) has a unique solution. A similar manner given by [37] is used. Thus, the following theorem is presented to show the existence and uniqueness of the solution of model (8).

**Theorem 1.** The model (8) with initial condition  $S(0) = S_0 \ge 0$  and  $I(0) = I_0 \ge 0$  has a unique solution.

*Proof.* Consider model (8) with positive initial condition with  $F : [0,\infty) \to \mathbb{R}^2$  where  $F(N) = (F_1(N), F_2(N))$ ,  $N \equiv N(t)$  and  $\theta \equiv \{(S,I) \in \mathbb{R}^2_+ : \max\{|S|, |I|\} \le M\}$  for sufficiently large M. Then, for any N = (S,I) and  $\bar{N} = (\bar{S},\bar{I}), N, \bar{N} \in \theta$ , we have

$$\begin{split} \|F(N) - F(\bar{N})\| &= |F_1(N) - F_1(\bar{N})| + |F_2(N) - F_2(\bar{N})| \\ &= |\left[ (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I \right] - \left[ (r - \mu)\bar{S} - \omega_1 \bar{S}^2 - (\omega_2 + \beta)\bar{S}\bar{I} + \eta \bar{I} \right] | + \\ &+ \left[ \left[ (\beta - \omega_4)SI - \omega_3 I^2 - (\eta + \mu)I \right] - \left[ (\beta - \omega_4)\bar{S}\bar{I} - \omega_3\bar{I}^2 - (\eta + \mu)\bar{I} \right] \right] \\ &\leq (r + \mu) \left| S - \bar{S} \right| + \omega_1 \left| S^2 - \bar{S}^2 \right| + (\omega_2 + \beta) \left| SI - \bar{S}\bar{I} \right| + \eta \left| I - \bar{I} \right| + (\beta + \omega_4) \left| SI - \bar{S}\bar{I} \right| \\ &+ \omega_3 \left| I^2 - \bar{I}^2 \right| + (\eta + \mu) \left| I - \bar{I} \right| \\ &= (r + \mu) \left| S - \bar{S} \right| + \omega_1 \left| (S + \bar{S})(S - \bar{S}) \right| + (\omega_2 + \omega_4 + 2\beta) \left| I(S - \bar{S}) + \bar{S}(I - \bar{I}) \right| \\ &+ (2\eta + \mu) \left| I - \bar{I} \right| + \omega_3 \left| (I + \bar{I})(I - \bar{I}) \right| \\ &\leq (r + \mu) \left| S - \bar{S} \right| + 2\omega_1 M \left| S - \bar{S} \right| + (\omega_2 + \omega_4 + 2\beta) M \left| S - \bar{S} \right| \\ &+ (\omega_2 + \omega_4 + 2\beta) M \left| I - \bar{I} \right| + (2\eta + \mu) \left| I - \bar{I} \right| \\ &= \left[ (r + \mu) + 2\omega_1 M + (\omega_2 + \omega_4 + 2\beta) M \right] \left| S - \bar{S} \right| + \left[ (\omega_2 + \omega_4 + 2\beta) M + (2\eta + \mu) + 2\omega_3 M \right] \left| I - \bar{I} \right| \\ &\leq L \|N - \bar{N}\|, \end{split}$$

where  $L = (\omega_2 + \omega_4 + 2\beta)M + \mu + \max\{r + 2\omega_1M, 2(\eta + \omega_3M)\}$ . Therefore, F(N) stisfies the Lipschitz condition. Obeying Lemma 5 in [38], we conclude that model (8) with positive initial condition has a unique solution.

**2.3.** Non-negativity and Boundedness. The non-negativity and boundedness properties of the solutions of the model (8) are given in the following theorem.

**Theorem 2.** All solution of the model (8), which start in  $\mathbb{R}^2_+ := \{(S,I) | S \ge 0, I \ge 0, (S,I) \in \mathbb{R}^2\}$  are uniformly bounded and non-negative.

*Proof.* To prove the boundedness of the solutions of the model (8), the same approach of [38] is adopted. Let consider the function N = S + I. Then,

$$C \mathscr{D}_{t}^{\alpha} N = C \mathscr{D}_{t}^{\alpha} S + C \mathscr{D}_{t}^{\alpha} I$$
  
=  $(r - \mu)S - \omega_{1}S^{2} - (\omega_{2} + \beta)SI + \eta I + (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I$   
=  $(r - \mu)S - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2} - \mu I.$ 

Hence, for each  $\mu > 0$ ,

$${}^{C}\mathscr{D}_{t}^{\alpha}N + \mu N = (r - \mu)S - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2} - \mu I + \mu S + \mu I$$
$$= rS - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2}$$
$$= -\omega_{1}\left(S - \frac{r}{2\omega_{1}}\right)^{2} + \frac{r^{2}}{4\omega_{1}} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2}$$
$$\leq \frac{r^{2}}{4\omega_{1}}$$

By using the comparison theorem in [39], we obtain  $N(t) \leq N(0)E_{\alpha}(-\mu t^{\alpha}) + \frac{r^2}{4\omega_1}t^{\alpha}E_{\alpha,\alpha+1}(-\mu t^{\alpha})$ , where  $E_{\alpha}$  and  $E_{\alpha,\alpha+1}$  is the Mittag-Leffler function with one and two parameters. According to Lemma 5 and Corollary 6 in [39], we have  $N(t) \leq \frac{r^2}{4\mu\omega_1}$ , as  $t \to \infty$ . Therefore, all solutions of model (8) starting in  $\mathbb{R}^2_+$  are uniformly bounded in the region  $\Phi$ , where  $\Phi = \left\{ (S,I) \in \mathbb{R}^2_+ : S + I \leq \frac{r^2}{4\mu\omega_1} + \varepsilon, \varepsilon > 0 \right\}$  Next, we prove that all solutions of model (8) are non-negative. By model (8), we have  ${}^C \mathcal{D}_t^{\alpha} S|_{S=0} = \eta I \geq 0$  and  ${}^C \mathcal{D}_t^{\alpha} I|_{I=0} = 0 \geq 0$ . Based on Lemmas 5 and 6 in [40], we conclude that the solutions of model (8) are non-negative.

### **3.** ANALYTICAL RESULTS

In this section, the dynamics of model (8) are shown analytically including the existence of equilibrium points, and their local and global stability.

3.1. Existence of Equilibrium Points. To find the equilibrium points of model (8), we must have

(9)  $[(r-\mu)-\omega_1S-(\omega_2+\beta)I]S+\eta I=0,$ 

(10) 
$$[(\beta - \omega_4)S - \omega_3I - (\eta + \mu)]I = 0$$

If I = 0 is substituted to (9), we obtain

(11) 
$$[(r-\mu)-\omega_1 S]S=0.$$

From eq. (11), we get S = 0 and  $S = \frac{r-\mu}{\omega_1}$ . Thus, we have two equilibrium points here namely  $\mathscr{E}_0 = (0,0)$ , and  $\mathscr{E}_A = \left(\frac{r-\mu}{\omega_1}, 0\right)$ . The equilibrium point  $\mathscr{E}_0$  is called the origin point which represents the extinction of both susceptible and infected populations. Since  $\mathscr{E}_0 \in \mathbb{R}^2_+$ , this equilibrium point always exists. Furthermore, the equilibrium point  $\mathscr{E}_A$  is called the disease-free equilibrium point (DFEP) which describes the condition where the infectious disease does not exist anymore in the population. According to the biological condition, it is natural that the birth rate *r* is greater than its death rate  $\mu$ . By assuming  $r > \mu$ , the origin point  $\mathscr{E}_A \in \mathbb{R}^2_+$  also always exists. By simple calculation, we also obtain the basic reproduction number  $\mathscr{R}_0$  given by

(12) 
$$\mathscr{R}_0 = \frac{(r-\mu)\beta}{(r-\mu)\omega_4 + (\eta+\mu)\omega_1}$$

The basic reproduction number is utilized to show the dynamical behavior of each equilibrium point and to describe whether the infectious disease becomes endemic or not. Since  $r > \mu$ , the value of  $\Re_0$  is always positive. Now, let's concern the eq. (9) and (10). By solving eq. (10), we attain

(13) 
$$S = \frac{\omega_3 I + (\eta + \mu)}{\beta - \omega_4}.$$

If we substitute eq. (13) to (9), the following polynomial equation holds.

(14) 
$$k_1 I^2 + k_2 I + k_3 = 0,$$

where

$$\begin{aligned} k_1 &= ((\beta - \omega_4)(\beta + \omega_2) + \omega_1 \omega_3)\omega_3, \\ k_2 &= (\beta - \omega_4)((\beta + \omega_2)\mu + (\omega_2 + \omega_4)\eta - (r - \mu)\omega_3) + 2(\eta + \mu)\omega_1\omega_3, \\ k_3 &= \frac{(1 - \mathscr{R}_0)(r - \mu)(\eta + \mu)\beta}{\mathscr{R}_0}. \end{aligned}$$

Therefore, we acquire the endemic point (EEP)

(15) 
$$\mathscr{E}_{I} = \left(\frac{\omega_{3}\bar{\gamma} + (\eta + \mu)}{\beta - \omega_{4}}, \bar{\gamma}\right).$$

where  $\bar{\gamma}$  is the positive root of polynomial equation (14). From (15), we find that  $\beta > \omega_4$  must be fulfilled so that  $\mathscr{E}_I \in \mathbb{R}^2_+$ . Moreover, EEP exists if  $\bar{\gamma} > 0$ . From eq. (14), we have  $k_1$  is always positive. Thus, the value of the  $\bar{\gamma}$  depends on  $k_2$  and  $k_3$ . Furthermore, eq. (14) has real number roots if  $k_2^2 \ge 4k_1k_3$ . By applying simple algebra, if  $k_3 > 0$  and  $k_2 < 0$  then we have two positive roots of eq. (14), if  $k_3 > 0$  and  $k_2 > 0$  then we do not have any positive roots of eq. (14). Finally, we have the following theorem.

**Theorem 3.** Let  $\beta > \omega_4$ . The existence of EEP  $\mathcal{E}_I$  is shown by the following statement.

- (i) If  $k_2^2 < 4k_1k_3$  then  $\mathcal{E}_I$  does not exist.
- (*ii*) If  $k_2^2 = 4k_1k_3$  and
  - (*ii.i*) if  $k_2 > 0$  then  $\mathcal{E}_I$  does not exist.
  - (*ii.ii*) if  $k_2 < 0$  then  $\mathcal{E}_I$  exists and unique.
- (*iii*) If  $k_2^2 > 4k_1k_3$  and
  - (iii.i) if  $k_3 > 0$  and  $k_2 < 0$  then we have a pair of  $\mathcal{E}_I$ .
  - (iii.ii) if  $k_3 > 0$  and  $k_2 > 0$  then  $\mathcal{E}_I$  does not exist.
  - (iii.iii) if  $k_3 < 0$  then  $\mathcal{E}_I$  exists and unique.

Denote that  $k_2^2 > 4k_1k_3$  is always satisfied and  $k_3 < 0$  for  $\Re_0 > 1$ , then the following lemma holds.

**Lemma 4.** *EEP*  $\mathcal{E}_I$  *exists and unique if*  $\mathcal{R}_0 > 1$ .

**3.2.** Local Dynamics. The local dynamics of model (8) are obtained by applying the Matignon condition which is defined as follows.

**Theorem 5.** [Matignon condition [36]] An equilibrium point  $\vec{x}^*$  is locally asymptotically stable (LAS) if all eigenvalues  $\lambda_j$  of the Jacobian matrix  $J = \frac{\partial \vec{f}}{\partial \vec{x}}$  at  $\vec{x}^*$  satisfy  $|\arg(\lambda_j)| > \frac{\alpha \pi}{2}$ . If there exists at least one eigenvalue satisfy  $|\arg(\lambda_k)| > \frac{\alpha \pi}{2}$  while  $|\arg(\lambda_l)| < \frac{\alpha \pi}{2}$ ,  $k \neq l$ , then  $\vec{x}^*$  is a saddle-point.

Therefore, to study the local dynamics of model (8), we first compute its Jacobian matrix at the point (S, I) which gives

(16) 
$$\mathscr{J}(S,I) = \begin{bmatrix} (r-\mu) - 2\omega_1 S - (\omega_2 + \beta)I & -(\omega_2 + \beta)S + \eta \\ (\beta - \omega_4)I & (\beta - \omega_4)S - 2\omega_3 I - (\eta + \mu) \end{bmatrix}$$

Obeying Theorem 5 and using Jacobian matrix (16), we discuss the local stability for each equilibrium point in the next subsection.

**3.3.** Dynamical behavior around  $\mathcal{E}_0$ . LAS condition of  $\mathcal{E}_0$  is obtained by identifying the eigenvalues of the Jacobian matrix (16) at the point (S, I) = (0, 0). We receive

$$\left| \mathscr{J}(S,I) \right|_{\mathscr{E}_0} = \left[ egin{array}{cc} r-\mu & \eta \\ 0 & -(\eta+\mu) \end{array} 
ight]$$

Therefore, we have  $\lambda_1 = r - \mu$  and  $\lambda_2 = -(\eta + \mu)$ . Since  $r > \mu$  and  $\lambda_2 < 0$ , we have  $|\arg(\lambda_1)| = 0 < \frac{\alpha \pi}{2}$  and  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$ . According to Theorem 5, the following theorem holds.

**Theorem 6.** The origin point  $\mathcal{E}_0$  is always a saddle point.

**3.4.** Dynamical behavior around  $\mathscr{E}_{A}$ . For  $(x, y) = \left(\frac{r-\mu}{\omega_1}, 0\right)$ , the Jacobian matrix (16) becomes

$$\mathscr{J}(S,I)|_{\mathscr{E}_{A}} = \left[ \begin{array}{cc} -(r-\mu) & \eta - \frac{(\omega_{2}+\beta)(r-\mu)}{\omega_{1}} \\ 0 & \frac{(\mathscr{R}_{0}-1)(r-\mu)\beta}{\omega_{1}\mathscr{R}_{0}} \end{array} \right],$$

which gives a pair of eigenvalues  $\lambda_1 = -(r - \mu)$  and  $\lambda_2 = \frac{(\mathscr{R}_0 - 1)(r - \mu)\beta}{\omega_1 \mathscr{R}_0}$ . Denote  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$  as the impact of  $\lambda_1 < 0$ . Hence, the sign of  $\lambda_2$  takes the role in describing local dynamics around  $\mathscr{E}_A$ . To obtain  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$ , we need  $\lambda_2 < 0$  which is fulfilled if  $\mathscr{R}_0 < 1$ . If  $\mathscr{R}_0 > 1$  then  $|\arg(\lambda_2)| = 0 < \frac{\alpha \pi}{2}$ . Following the Matignon condition given in Theorem 5, the following theorem is successfully attained.

**Theorem 7.** If  $\mathscr{R}_0 < 1$  then  $\mathscr{E}_A$  is LAS and a saddle point if  $\mathscr{R}_0 > 1$ .

**3.5.** Dynamical behavior around  $\mathcal{E}_I$ . To identify the local stability of  $\mathcal{E}_I$ , we first compute the Jacobian matrix (16) evaluated at  $\mathcal{E}_I$ . We generate

(17) 
$$\mathscr{J}(S,I)|_{\mathscr{E}_{I}} = \begin{bmatrix} -\left[\frac{(\omega_{3}\bar{\gamma}+\eta+\mu)\omega_{1}}{\beta-\omega_{4}} + \frac{(\beta-\omega_{4})\eta\bar{\gamma}}{\omega_{3}\bar{\gamma}+\eta+\mu}\right] & -\frac{(\omega_{2}+\beta)(\omega_{3}\bar{\gamma}+\eta+\mu)}{\beta-\omega_{4}} + \eta \\ (\beta-\omega_{4})\bar{\gamma} & -\omega_{3}\bar{\gamma} \end{bmatrix}$$

The eigenvalues of (17) are given by  $\lambda_1 = \frac{1}{2} \left( \xi_1 + \sqrt{\xi_1^2 - 4\xi_2} \right)$  and  $\lambda_2 = \frac{1}{2} \left( \xi_1 - \sqrt{\xi_1^2 - 4\xi_2} \right)$  where

$$\begin{split} \xi_1 &= -\left[\frac{(\omega_3\bar{\gamma}+\eta+\mu)\omega_1}{\beta-\omega_4} + \frac{(\beta-\omega_4)\eta\bar{\gamma}}{\omega_3\bar{\gamma}+\eta+\mu} + \omega_3\bar{\gamma}\right],\\ \xi_2 &= \left[\left(\frac{\omega_1\omega_3}{\beta-\omega_4} + \omega_2 + \beta\right)(\omega_3\bar{\gamma}+\eta+\mu) + \left(\frac{\omega_3\bar{\gamma}}{\omega_3\bar{\gamma}+\eta+\mu} + 1\right)(\beta-\omega_4)\eta\right]\bar{\gamma}. \end{split}$$

It is easy to proof that  $\xi_1 < 0$  and  $\xi_2 > 0$  since  $\beta > \omega_4$  becomes the existence condition. As the impact,  $|\arg(\lambda_i)| > \frac{\alpha \pi}{2}$ , i = 1, 2 and hence the LAS always hold for EEP. Thus, the following theorem holds.

**Theorem 8.** *EEP*  $\mathcal{E}_I$  *is always LAS.* 

**3.6.** Global Dynamics. In this subsection, the global dynamics of model (8) are studied. The biological conditions of equilibrium points are investigated so that those points are globally asymptotically stable (GAS). Since the origin is always a saddle point, we focus on studying GAS conditions for DFEP and EEP. The next two theorems are given for the global dynamics.

**Theorem 9.** *DFEP*  $\mathscr{E}_A$  *is GAS if*  $\omega_1 > \frac{(\omega_2 + \beta)r}{\mu}$ .

*Proof.* We define a positive Lyapunov function as follows.

(18) 
$$\mathscr{V}_{A}(S,I) = \left(S - \frac{r-\mu}{\omega_{1}} - \frac{r-\mu}{\omega_{1}}\ln\frac{\omega_{1}S}{r-\mu}\right) + I$$

If we calculate the Caputo fractional derivative of  $\mathcal{V}_A(S, I)$  along the solution of model (8) and use Lemma 3.1 in [41], we get

$${}^{C}\mathscr{D}_{t}^{\alpha}\mathscr{V}_{A}(S,I) = \left(\frac{S - \frac{r-\mu}{\omega_{1}}}{S}\right){}^{C}\mathscr{D}_{t}^{\alpha}S + {}^{C}\mathscr{D}_{t}^{\alpha}I$$

$$= -\omega_1 \left(S - \frac{r - \mu}{\omega_1}\right)^2 + \frac{(r - \mu)(\omega_2 + \beta)I}{\omega_1} - \frac{(r - \mu)\eta I}{\omega_1 S} - (\omega_2 + \omega_4)SI - \omega_3 I^2 - \mu I$$
  
$$\leq -\omega_1 \left(S - \frac{r - \mu}{\omega_1}\right)^2 - \left(\mu - \frac{(\omega_2 + \beta)r}{\omega_1}\right)I$$

Since  $\omega_1 > \frac{(\omega_2 + \beta)r}{\mu}$ , we have  ${}^{C}\mathscr{D}_t^{\alpha}\mathscr{V}_A(S,I) \leq 0$  for all  $(S,I) \in \mathbb{R}^2_+$ , and  ${}^{C}\mathscr{D}_t^{\alpha}\mathscr{V}_A(S,I) = 0$  only when  $(S,I) = \left(\frac{r-\mu}{\omega_1}, 0\right)$ . This means that the singleton  $\{\mathscr{E}_A\}$  is the only invariant set where  ${}^{C}\mathscr{D}_t^{\alpha}\mathscr{V}_A(S,I) = 0$ . By Lemma 4.6 in [42], we can conclude that every solution of model (8) tends to DFEP  $\mathscr{E}_A$ .

**Theorem 10.** *EEP*  $\mathscr{E}_I$  *is GAS if*  $\frac{\omega_2}{2} + \frac{\omega_4}{2} + \frac{\eta}{2\vartheta} < \min{\{\omega_1, \omega_3\}}.$ 

*Proof.* We first define  $\vartheta = \frac{\omega_3 \bar{\gamma} + (\eta + \mu)}{\beta - \omega_4}$  and hence  $\mathscr{E}_I = (\vartheta, \bar{\gamma})$ . Now, a positive Lyapunov function is presented as follows.

(19) 
$$\mathscr{V}_{I}(S,I) = \left(S - \vartheta - \vartheta \ln \frac{S}{\varphi}\right) + \left(I - \bar{\gamma} - \bar{\gamma} \ln \frac{S}{\bar{\gamma}}\right)$$

Following Lemma 3.1 in [41], we reach

Denote that  ${}^{C}\mathscr{D}_{l}^{\alpha}\mathscr{V}_{l}(S,I) \leq 0$  for all  $(S,I) \in \mathbb{R}^{2}_{+}$  as a result of  $\frac{\omega_{2}}{2} + \frac{\omega_{4}}{2} + \frac{\eta}{2\vartheta} < \min\{\omega_{1},\omega_{3}\}$ . We also have that  ${}^{C}\mathscr{D}_{l}^{\alpha}\mathscr{V}_{l}(S,I) = 0$  only when  $(S,I) = (\vartheta,\bar{\gamma})$ . Therefore, the singleton  $\{\mathscr{E}_{I}\}$  is the only invariant set where  ${}^{C}\mathscr{D}_{l}^{\alpha}\mathscr{V}_{l}(S,I) = 0$ . Obeying Lemma 4.6 in [42], every solution of model (8) tends to EEP  $\mathscr{E}_{I}$ .

### 4. GLOBAL SENSITIVITY ANALYSIS

In this section, the global sensitivity analysis is studied to investigate the most influential parameters of model (8). Global sensitivity analysis is calculated using Partial Rank Coefficient Correlation (PRCC) [43], where the random data processed in PRCC is generated using Saltelli sampling [44]. Two biological components become the objective function for the PRCC namely the basic reproduction number ( $\Re_0$ ) and the population density of infected class (I(t)). We first investigate the most influential parameter to the basic reproduction number ( $\Re_0$ ). From eq. (12), we acquire that only r,  $\mu$ ,  $\omega_1$ ,  $\omega_4$ , and  $\eta$  have the influence on the value of  $\Re_0$ . The birth rate and the natural death rate also can be fixed since some cases in the epidemiological model has the values of these parameters. Thus, only  $\beta$ ,  $\eta$ ,  $\omega_1$ , and  $\omega_4$  will be computed for PRCC. The Figure 2 is given for the results. We

10

#### DYNAMICS OF A SIS-EPIDEMIC MODEL



FIGURE 2. PRCC results for the parameters of  $\mathscr{R}_0$ 



(A) Contour plot on  $(\omega_1, \omega_4)$  – plane

(B) Contour plot on  $(\beta, \eta)$  – plane

FIGURE 3. Contour plots for the parameters respect to  $\mathscr{R}_0$ 

have  $\beta = 0.763$ ,  $\omega_1 = -0.352$ ,  $\omega_4 = -0.33$ , and  $\eta = -0.277$  as the coefficient correlation such that the infection rate ( $\beta$ ) becomes the most influential parameter to  $\mathcal{R}_0$  and followed by  $\omega_1$ ,  $\omega_4$ , and  $\eta$ , respectively. It shows that the infection rate ( $\beta$ ) as the most influential parameter has a positive relationship with the basic reproduction number ( $\mathcal{R}_0$ ) which means that  $\mathcal{R}_0$  will significantly increases when  $\beta$  increases. The rest  $\omega_1$ ,  $\omega_4$ , and  $\eta$  have a negative relationship with  $\mathcal{R}_0$  which means that by reducing the value of those parameters, the basic reproduction number ( $\mathcal{R}_0$ ) will increases. To show the impact of these parameters on  $\mathcal{R}_0$ , the contour plots are also portrayed in Figure 3.



FIGURE 4. PRCC results for the parameters of I(t)

TABLE 1. PRCC results in respect to the population density of infected class	TABLE 1.	PRCC results	in respect to the	population densit	v of infected class
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Parameter	Description	PRCC	Rank	Relationship with $I(t)$
$\omega_1$	The death rate of susceptible population due to the	-0.00851	6	Negative relationship
	intraspecific competition			
$\omega_2$	The death rate of susceptible population due to the	-0.01938	5	Negative relationship
	interspecific competition			
$\omega_3$	The death rate of infected population due to the in-	-0.01990	4	Negative relationship
	traspecific competition			
$\omega_4$	The death rate of infected population due to the inter-	-0.54635	1	Negative relationship
	specific competition			
β	The infection rate	0.54631	2	Positive relationship
η	The recovery rate	-0.43606	3	Negative relationship

Next, we identify the most influential parameter to the population density of infected class (I(t)). Quite similar to previous work, the value of r and  $\mu$  are fixed but the rest of the parameters are involved to compute PRCC. PRCC values are computed for  $0 \le t \le 50$  which is considered sufficient enough to see the convergence for each parameter through the PRCC. We portray the PRCC results in Figure 4 while the PRCC values, ranks, and the relationship between each parameter and I(t) are given in Table 1. From those simulations, we conclude that the death rate of infected population due to interspecific competition between susceptible and infected classes ( $\omega_4$ ) become the most influential parameter to the population density (I(t)) followed respectively by  $\beta$ ,  $\eta$ ,  $\omega_3$ ,  $\omega_2$ , and  $\omega_1$ . In the next section, the numerical simulations including bifurcation diagram and time-series are presented to



(B) Time-series for  $\beta = 0.1, 0.2, 0.4$ , and 0.6

FIGURE 5. Bifurcation diagram and times-series of model (8) driven by the infection rate ( $\beta$ ) with parameter values given by eq. (20)

show the impact of the infection rate ( $\beta$ ), recovery rate ( $\eta$ ), intraspecific competition ( $\omega_1$  and  $\omega_3$ ), and interspecific competition ( $\omega_2$  and  $\omega_4$ ) to the dynamical behaviors of model (8).

### **5.** NUMERICAL SIMULATIONS

In this section, the dynamical behaviors of model (8) including bifurcation diagram and time-series are studied numerically. To obtain the bifurcation diagram and the corresponding time-series of model (8), the predictor-corrector scheme developed by Diethelm et al. is employed [45]. Since the model does not investigate a specific epidemiological case, we use hypothetical parameters for all numerical simulations. we set the parameter values as follows.

(20) 
$$r = 0.6, \mu = 0.1, \omega_1 = 0.1, \omega_2 = 0.1, \omega_3 = 0.1, \omega_4 = 0.1, \beta = 0.4, \eta = 0.2, \text{ and } \alpha = 0.9$$

We start our work by investigating the impact of infection rate ( $\beta$ ) on the dynamics of model (8). The value of  $\beta$  is varied in the interval  $0 \le \beta \le 1$  and we then compute the numerical solutions. To obtain the bifurcation diagram, we plot the tail of solutions for each  $\beta$  together with the LAS condition of  $\mathscr{E}_A$ . As result, we obtain a



(A) Bifurcation diagram driven by  $\eta$  in interval  $0 \le \eta \le 1$ 



(B) Time-series for  $\eta = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 6. Bifurcation diagram and times-series of model (8) driven by the recovery rate ( $\eta$ ) with parameter values given by eq. (20)

bifurcation diagram as in Figure 5a. When  $0 \le \beta < \beta^*$ ,  $\beta^* = 0.16$ , the EEP  $\mathscr{E}_I$  does not exist and Theorem 7 is satisfied which means that DFE  $\mathscr{E}_A$  is LAS. The solution is convergent to  $\mathscr{E}_A$  which indicates the population free from disease. When  $\beta$  passes through  $\beta^*$ ,  $\mathscr{E}_A$  losses its stability, and unique LAS EEP  $\mathscr{E}_I$  occurs in the interior. The infectious disease becomes endemic in the population and still exists for all  $t \to \infty$ . From the concatenation of those biological circumstances, we conclude that forward bifurcation occurs around  $\mathscr{E}_A$  where  $\beta$  is the bifurcation parameter and  $\beta = \beta^*$  is the bifurcation point. It is easy to examine that the bifurcation point  $\beta = \beta^*$  is equal to  $\mathscr{R}_0 = 1$ . The dynamical behaviors are maintained for  $\beta^* < \beta \le 1$ . To support these conditions, some time series are given in Figure 5b to show the convergence of solutions for different values of  $\beta$ .

Next, the impact of recover rate  $(\eta)$  is studied. A similar numerical scheme as the previous way is applied. To depicts the bifurcation diagram, the parameter is fixed as in eq. (20) and the recovery rate  $(\eta)$  is varied in interval  $0 \le \eta \le 1$ . We have Figure 6a as the result. Denote that the bifurcation does not exist for this interval. Both DFEP and EEP exist with distinct stability. The DFEP  $\mathscr{E}_A$  is a saddle point while the EEP  $\mathscr{E}_I$  is LAS which confirm the validity of Theorems 6 and 7. We also confirm that the EEP  $\mathscr{E}_I$  attains GAS which means that all initial conditions will go right to the EEP and the infectious disease will exist all the time. Although the disease becomes endemic,



(A) Bifurcation diagram driven by  $\omega_1$  in interval  $0 \le \omega_1 \le 1$ 



(B) Time-series for  $\omega_1 = 0.2, 0.3, 0.4, \text{ and } 0.7$ 

FIGURE 7. Bifurcation diagram and times-series of model (8) driven by the death rate of susceptible population due to intraspecific competition ( $\omega_1$ ) with parameter values given by eq. (20)

the numerical simulation shows that the value of  $\eta$  is directly proportional to S(t) and inversely proportional to I(t), see Figure 6b. This means the population density of the infected class can be reduced by increasing the recovery rate ( $\eta$ ).

For the next simulation, the impact of intraspecific competition is investigated. The death rate parameters caused by intraspecific competition on susceptible and infected classes ( $\omega_1$  and  $\omega_3$ ) are varied in interval [0, 1]. It is found that forward bifurcation occurs when  $\omega_1$  is driven where the bifurcation point is given by  $\omega_1^* = 0.5$ , see Figure 7a. The population density of both susceptible and infected classes reduces when the death rate of S(t) due to intraspecific competition increases as given by Figure 7b. Particularly, Figure 8a shows that bifurcation does not exists in interval  $0 \le \omega_1 \le 1$  when  $\omega_1$  is varied but the dynamical behaviors show that S(t) increases and I(t)decrease when  $\omega_1$  increase. We confirm this condition by giving time-series in Figure 8b.

Now, we study the impact of interspecific competition on the dynamical behaviors of model (8). Both susceptible and infected classes have died due to the existence of interspecific competition given by parameters  $\omega_2$  and  $\omega_4$ . By varying  $\omega_2$  and  $\omega_4$  in interval [0,1], we obtain Figures 9a and 10a as the bifurcation diagram. We find



(A) Bifurcation diagram driven by  $\omega_3$  in interval  $0 \le \omega_3 \le 1$ 



(B) Time-series for  $\omega_3 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 8. Bifurcation diagram and times-series of model (8) driven by the death rate of infected population due to intraspecific competition ( $\omega_3$ ) with parameter values given by eq. (20)

forward bifurcation driven by  $\omega_4$  which does not exist when varying  $\omega_1$ . This means, the EEP still exists and LAS for  $0 \le < \omega_2 \le 1$ . The EEP will disappear via forward bifurcation and the saddle DFEP becomes LAS when  $\omega_4$ crosses  $\omega_4^* = 0.34$ . This guarantees that the infectious disease may eliminate the disease in population when the death rate of the infected population due to interspecific competition increases as shown in Figure 10b. Although the disease does not disappear when  $\omega_2$  is driven, we also can see in Figure 9b that by increasing  $\omega_2$ , the population density of the infected class will reduce and the susceptible class will increase.

Finally, the impact of memory effect ( $\alpha$ ) is investigated. The numerical simulation is given by Figure 11. For  $\alpha = 0.7, 0.8, 0.9, 1$  and similar initial values, all solution converge to single equilibrium point given by  $\mathscr{E}_I \approx (1.3465, 1.0395)$ , see Figure 11(a,b). We then plot the local amplification to show the difference of solutions when  $\alpha$  is varied. We find that the difference lies in the convergence rate where for larger values of  $\alpha$ , the convergence rate increase and vice versa as shown in Figure 11(e,f). In the beginning, Figure 11(c,d) we show that when  $\alpha$  decrease, the population density of the infected class reduce. From a biological point of view, we can say that biological memory has an impact on the density of both susceptible and infected classes.



(A) Bifurcation diagram driven by  $\omega_2$  in interval  $0 \le \omega_2 \le 1$ 



(B) Time-series for  $\omega_2 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 9. Bifurcation diagram and times-series of model (8) driven by the death rate of susceptible population due to interspecific competition ( $\omega_2$ ) with parameter values given by eq. (20)

### **6.** CONCLUSION

The dynamics of a fractional-order SIS-epidemic model with intraspecific and interspecific competition have been studied. The validity of the model has been confirmed analytically by showing the existence, uniqueness, non-negativity, and boundedness of solutions. Three equilibrium points have been obtained namely the origin, the disease-free equilibrium point, and the endemic equilibrium point. Both origin and disease-free equilibrium points always exist while the endemic equilibrium point conditionally exists. The basic reproduction number  $\Re_0$  has been given which has a relationship with the local stability of the model. If  $\Re_0 < 1$  then the disease-free equilibrium point is locally asymptotically stable and if  $\Re_0 > 1$  then the disease-free equilibrium point losses its stability along with the existence of a locally asymptotically stable endemic equilibrium point. The global stability conditions of equilibrium points also have been found. The PRCC has been worked to investigate the most influential parameter. We have successfully shown that the infection rate and the death rate of the infected population due to interspecific competition becomes the most influential parameter for basic reproduction number and the population density of



(A) Bifurcation diagram driven by  $\omega_4$  in interval  $0 \le \omega_4 \le 1$ 



(B) Time-series for  $\omega_4 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 10. Bifurcation diagram and times-series of model (8) driven by the death rate of infected population due to interspecific competition ( $\omega_4$ ) with parameter values given by eq. (20)

the infected class. We then investigate the impact of several parameters using numerical simulations including the infection rate, the recovery rate, the intraspecific competition, the interspecific competition, and the memory effect on the dynamics of the model. Bifurcation diagrams and time series have been given which show the existence of forward bifurcation, the decrease of susceptible and infected classes, and the decrease of convergence rate caused by the memory effect.

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#### **CONFLICT OF INTERESTS**

The author(s) declare that there is no conflict of interests.



FIGURE 11. Time series of model (8) with parameter values given by eq. (20) for  $\alpha = 0.7, 0.8, 0.9, 1$ . (**a,b**) Time-series for  $0 \le t \le 500$ , (**c,d**) Local amplification of (a,b) around  $0 \le t \le 10$ , and (**c,d**) Local amplification of (a,b) around  $100 \le t \le 500$ 

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## 1 DYNAMICS OF SIS-EPIDEMIC MODEL WITH COMPETITION INVOLVING 2 FRACTIONAL-ORDER DERIVATIVE WITH POWER-LAW KERNEL

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12	unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.
13	Abstract. Infectious disease and competition play important roles in the dynamics of a population due to their
14	capability to increase the mortality rate for each organism. In this paper, the dynamical behaviors of a single
15	species population are studied by considering the existence of the infectious disease, intraspecific competition,
16	and interspecific competition. The fractional-order derivative with a power-law kernel is utilized to involve the
17	impact of the memory effect. The population is divided into two compartments namely the susceptible class and
18	the infected class. The existence, uniqueness, non-negativity, and boundedness of the solution are investigated
19	to confirm the biological validity. Three types of feasible equilibrium points are identified namely the origin,
20	the disease-free, and the endemic points. All biological conditions which present the local and global stability
21	are investigated. The global sensitivity analysis is given to investigate the most influential parameter to the basic
22	reproduction number and the density of each class. Some numerical simulations including bifurcation diagrams
23	and time series are also portrayed to explore more the dynamical behaviors.

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### 26 **1.** INTRODUCTION

The spread of infectious disease still becomes a fundamental issue not only because of the 27 existence of the population but also to maintain the balance of biological systems. Several sci-28 entific methods are developed to discover better ways to suppress and control the rate of disease 29 infection [1]. The preferred ways for the last decades for this epidemiological problems are 30 given by mathematical approach using a deterministic model which is considered efficacious to 31 understand the mechanisms of disease transmission and evaluate the appropriate control strate-32 gies [2, 3, 4]. The fundamental one which has become the basis of epidemiological modeling 33 is given by [5] which develops the continuous-time deterministic model using first-order de-34 rivative as the operator. This model is successfully developed in couple of ways such as the 35 continuous-time single species epidemiological modeling with first-order derivative [6, 7, 8, 9], 36 the discrete-time single species epidemiological modeling [10, 11, 12], the stochastic single-37 species epidemiological modeling [13, 14], and the continuous-time eco-epidemiological mod-38 eling [15, 16, 17]. 39

Apart from those operators, several researchers prefer to use the fractional-order derivative 40 to accomplish their problems the biological modeling. See [18, 19, 20] and references therein 41 for some examples in epidemiological modeling. The fractional-order derivative is chosen by 42 considering the capability of this operator to describe the current state of the biological object 43 as the impact of all of its previous conditions which are known as the memory effect [21, 22]. In 44 the epidemiological model, the transmission of disease may slow down and be forestalled by the 45 susceptible population as the impact of the memory [23]. Some fractional-order derivative has 46 been developed and successfully applied in epidemiological modeling such as the Riemann-47 Liouville, Caputo, Caputo-Fabrizio, and Atangana-Baleanu [24, 25, 26, 27]. From all of the 48 given operators, the Caputo fractional-order derivative has the complete tools for dynamical 49 analysis such as the existence and uniqueness, non-negativity and boundedness, local dynamics, 50 global dynamics, and some bifurcation analysis. Consequently, the Caputo operator will be used 51 in this paper where defined later in the next section. 52

3

In this work, we develop the epidemiological model based on the SIR model given by [5]. For 53 single-species conditions, this model is only popular for the infectious diseases that appeared 54 in the human population. In facts, infectious diseases also threaten the existence of the animal 55 population which disturbs the balance of the ecosystem. For examples, the infectious diseases 56 in endemic species such as Orangutans [28], Tarsius [29], Sumatran Tiger [30], and Komodo 57 dragon [31]. Moreover, the natural behaviors of animals that endanger the existence of their 58 populations are the intraspecific competition among them to preserve their food sources [32, 59 33, 34]. For these reasons, developing and investigating the dynamics of the epidemiological 60 model by considering the impact of intraspecific competition and the memory effect are critical 61 issues that become the novelty of our research. 62

The whole of this paper is organized in the following procedure: In Section 2, the math-63 ematical modeling consists of model formulation, existence, uniqueness, non-negativity, and 64 boundedness are given. The analytical results including the existence of equilibrium points and 65 their local and global dynamics are completely investigated in Section 3. To show the most in-66 fluential parameter of the model, the global sensitivity analysis is provided by Section 4. Some 67 numerical simulations as well as bifurcation diagrams and time-series are presented in Sec-68 tion 5 to explore more about the dynamical behaviors of the model. This work ends by giving a 69 conclusion in Section 6. 70

### 71 **2.** MATHEMATICAL MODELING

This section studies about mathematical modeling consisting of the model formulation, ex-72 istence, uniqueness, non-negativity, and boundedness of solution. The mathematical model is 73 constructed by a deterministic approach using a differential equation. We first give some as-74 sumptions to restrain the model so it does not get too complicated. We next interpret the giving 75 assumptions to the mathematical formula using the first-order derivative as the operator. A di-76 agram is presented to show the impact of each assumption on the flow of population density 77 for each compartment. To involve the impact of the memory effect, the Caputo fractional-order 78 derivative is applied to the model. For the mathematical model's validity, we show that the 79 solution of the model always exists, unique, non-negative, and bounded. 80

**2.1.** Model Formulation. In this work, the model is constructed from a single population growth model. We first assume there exists a population in a habitat that grows proportionally to its density and bounded due to the intraspecific competition. Let N(t) be the population at time *t*, *r* is the birth rate,  $\mu$  is the natural death rate, and  $\omega$  is the death rate as a result of competition. Thus, we have a first-order differential equation as follows.

86 (1) 
$$\frac{dN}{dt} = (r - \mu)N - \omega N^2.$$

Next, we assume that the population is exposed by infectious disease. The population N is divided into two compartments namely the susceptible class (S) and infected class (I) where N = S + I. The susceptible class is infected by disease bilinearly with infection rate  $\beta$ . The competition is divided into two cases namely the intraspecific competition for each susceptible and infected class, and the interspecific competition between susceptible and infected classes. As result, the following model is received.

93 (2)  
$$\frac{dS}{dt} = (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI,$$
$$\frac{dI}{dt} = (\beta - \omega_4)SI - \omega_3 I^2 - \mu I,$$

where  $\omega_i$ , i = 1, 2 respectively denote the death rate of the susceptible population as the results 94 of intraspecific and interspecific competitions between susceptible and susceptible classes, and 95 susceptible and infected classes. The parameters  $\omega_i$ , i = 3, 4 denote the death rate of the infected 96 population as the result of competition between infected and infected classes, and susceptible 97 and infected classes. In our works, we also assume that each organism has the capability to 98 survive the disease. Thus, we define  $\eta$  as the recovery rate. Since each organism that survives 99 from the disease has a chance to be re-infected, this type of population will be again susceptible. 100 Finally, we have a mathematical model as follows. 101

(3)  
$$\frac{dS}{dt} = (r-\mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I,$$
$$\frac{dI}{dt} = (\beta - \omega_4)SI - \omega_3 I^2 - (\eta + \mu)I.$$

103 All of the given assumptions and their mathematical modeling are described in Figure 1.

Now, the Caputo fractional-order derivative will be applied in order to conduct the impact of the memory effect on the population growth rate. The similar procedure is adopted from



FIGURE 1. Compartment diagram of model (3)

[35]. The first-order derivatives on the left-hand side of model (3) are replaced by the Caputofractional-order derivative defined as follows.

**Definition 1.** [36] Suppose  $0 < \alpha \le 1$ . The Caputo fractional derivative of order $-\alpha$  is defined by

110 (4) 
$${}^C\mathscr{D}^{\alpha}_t f(t) = \frac{1}{\Gamma(1-\alpha)} \int_0^t (t-s)^{-\alpha} f'(s) ds,$$

111 where  $t \ge 0$ ,  $f \in C^n([0, +\infty), \mathbb{R})$ , and  $\Gamma$  is the Gamma function.

Applying Definition 1 to eq. (3), the following model is obtained.

<sup>C</sup>
$$\mathscr{D}_t^{\alpha} S = (r-\mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I,$$
  
<sup>113</sup> (5)  
<sup>C</sup> $\mathscr{D}_t^{\alpha} I = (\beta - \omega_4)SI - \omega_3 I^2 - (\eta + \mu)I.$ 

Since the given process above makes the dimension of time at the left-hand side become  $t^{\alpha}$ , some parameters need to be rescaled so that there are no differences between the time's dimensions at the left-hand side with the right-hand side of model (5). By applying time rescale to some parameters, we have the model as follows.

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (r^{\alpha} - \mu^{\alpha})S - \omega_{1}^{\alpha}S^{2} - (\omega_{2}^{\alpha} + \beta^{\alpha})SI + \eta^{\alpha}I,$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\beta^{\alpha} - \omega_{4}^{\alpha})SI - \omega_{3}^{\alpha}I^{2} - (\eta^{\alpha} + \mu^{\alpha})I.$$

119 Let  $r^{\alpha} = \hat{r}$ ,  $\mu^{\alpha} = \hat{\mu}$ ,  $\omega_1^{\alpha} = \hat{\omega}_1$ ,  $\omega_2^{\alpha} = \hat{\omega}_2$ ,  $\omega_3^{\alpha} = \hat{\omega}_3$ ,  $\omega_4^{\alpha} = \hat{\omega}_4$ ,  $\beta^{\alpha} = \hat{\beta}$ , and  $\eta^{\alpha} = \hat{\eta}$ . Thus, we 120 acquire

(7)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (\hat{r} - \hat{\mu})S - \hat{\omega}_{1}S^{2} - (\hat{\omega}_{2} + \hat{\beta})SI + \hat{\eta}I_{4}$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\hat{\beta} - \hat{\omega}_{4})SI - \hat{\omega}_{3}I^{2} - (\hat{\eta} + \hat{\mu})I.$$

122 For simplicity, by dropping . for each parameter, we obtain the final model as follows.

(8)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I = F_{1}(N(t)),$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I = F_{2}(N(t)).$$

Equation (8) is the final proposed model in this paper. Although model (8) seems classic and simple, this model will be powerful to solve and investigate the existence of a closed population in a certain area without any outside intervention. Our literature review also shows that the model (8) has heretofore never been studied. Now, the basic properties of model (8) such as the existence uniqueness, non-negativity, and boundedness are investigated to confirm its biological validity.

**2.2. Existence and Uniqueness.** In this subsection, we will show that the model (8) has a unique solution. A similar manner given by [37] is used. Thus, the following theorem is presented to show the existence and uniqueness of the solution of model (8).

**Theorem 1.** The model (8) with initial condition  $S(0) = S_0 \ge 0$  and  $I(0) = I_0 \ge 0$  has a unique solution.

*Proof.* Consider model (8) with positive initial condition with  $F : [0, \infty) \to \mathbb{R}^2$  where  $F(N) = (F_1(N), F_2(N)), N \equiv N(t)$  and  $\theta \equiv \{(S, I) \in \mathbb{R}^2_+ : \max\{|S|, |I|\} \le M\}$  for sufficiently large M. Then, for any N = (S, I) and  $\bar{N} = (\bar{S}, \bar{I}), N, \bar{N} \in \theta$ , we have

$$\begin{split} \|F(N) - F(\bar{N})\| \\ &= |F_1(N) - F_1(\bar{N})| + |F_2(N) - F_2(\bar{N})| \\ &= |\left[(r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I\right] - \left[(r - \mu)\bar{S} - \omega_1\bar{S}^2 - (\omega_2 + \beta)\bar{S}\bar{I} + \eta\bar{I}\right]| + \\ &\left|\left[(\beta - \omega_4)SI - \omega_3 I^2 - (\eta + \mu)I\right] - \left[(\beta - \omega_4)\bar{S}\bar{I} - \omega_3\bar{I}^2 - (\eta + \mu)\bar{I}\right]\right| \\ &\leq (r + \mu) \left|S - \bar{S}\right| + \omega_1 \left|S^2 - \bar{S}^2\right| + (\omega_2 + \beta) \left|SI - \bar{S}\bar{I}\right| + \eta \left|I - \bar{I}\right| + (\beta + \omega_4) \left|SI - \bar{S}\bar{I}\right| \\ &+ \omega_3 \left|I^2 - \bar{I}^2\right| + (\eta + \mu) \left|I - \bar{I}\right| \\ &= (r + \mu) \left|S - \bar{S}\right| + \omega_1 \left|(S + \bar{S})(S - \bar{S})\right| + (\omega_2 + \omega_4 + 2\beta) \left|I(S - \bar{S}) + \bar{S}(I - \bar{I})\right| \\ &+ (2\eta + \mu) \left|I - \bar{I}\right| + \omega_3 \left|(I + \bar{I})(I - \bar{I})\right| \end{split}$$

6

$$\leq (r+\mu) |S-\bar{S}| + 2\omega_1 M |S-\bar{S}| + (\omega_2 + \omega_4 + 2\beta) M |S-\bar{S}| + (\omega_2 + \omega_4 + 2\beta) M |I-\bar{I}| + (2\eta + \mu) |I-\bar{I}| + 2\omega_3 M |I-\bar{I}| = [(r+\mu) + 2\omega_1 M + (\omega_2 + \omega_4 + 2\beta) M] |S-\bar{S}| + [(\omega_2 + \omega_4 + 2\beta) M + (2\eta + \mu) + 2\omega_3 M] |I-\bar{I}| \leq L ||N-\bar{N}||,$$

where  $L = (\omega_2 + \omega_4 + 2\beta)M + \mu + \max\{r + 2\omega_1M, 2(\eta + \omega_3M)\}$ . Therefore, F(N) stisfies the Lipschitz condition. Obeying Lemma 5 in [38], we conclude that model (8) with positive initial condition has a unique solution.

138 2.3. Non-negativity and Boundedness. The non-negativity and boundedness properties of
139 the solutions of the model (8) are given in the following theorem.

140 **Theorem 2.** All solution of the model (8), which start in  $\mathbb{R}^2_+$  := 141  $\{(S,I) | S \ge 0, I \ge 0, (S,I) \in \mathbb{R}^2\}$  are uniformly bounded and non-negative.

*Proof.* To prove the boundedness of the solutions of the model (8), the same approach of [38] is adopted. Let consider the function N = S + I. Then,

$${}^{C}\mathscr{D}_{t}^{\alpha}N = {}^{C}\mathscr{D}_{t}^{\alpha}S + {}^{C}\mathscr{D}_{t}^{\alpha}I$$
$$= (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I + (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I$$
$$= (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\omega_{4})SI - \omega_{3}I^{2} - \mu I.$$

Hence, for each  $\mu > 0$ ,

$${}^{C}\mathscr{D}_{t}^{\alpha}N + \mu N = (r - \mu)S - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2} - \mu I + \mu S + \mu I$$
$$= rS - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2}$$
$$= -\omega_{1}\left(S - \frac{r}{2\omega_{1}}\right)^{2} + \frac{r^{2}}{4\omega_{1}} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2}$$
$$\leq \frac{r^{2}}{4\omega_{1}}$$
By using the comparison theorem in [39], we obtain  $N(t) \leq N(0)E_{\alpha}(-\mu t^{\alpha}) + \frac{r^2}{4\omega_1}t^{\alpha}E_{\alpha,\alpha+1}(-\mu t^{\alpha})$ , where  $E_{\alpha}$  and  $E_{\alpha,\alpha+1}$  is the Mittag-Leffler function with one and two parameters. According to Lemma 5 and Corollary 6 in [39], we have  $N(t) \leq \frac{r^2}{4\mu\omega_1}$ , as  $t \to \infty$ . Therefore, all solutions of model (8) starting in  $\mathbb{R}^2_+$  are uniformly bounded in the region  $\Phi$ , where  $\Phi = \left\{ (S,I) \in \mathbb{R}^2_+ : S + I \leq \frac{r^2}{4\mu\omega_1} + \varepsilon, \varepsilon > 0 \right\}$  Next, we prove that all solutions of model (8) are non-negative. By model (8), we have  $C \mathscr{D}^{\alpha}_t S|_{S=0} = \eta I \geq 0$  and  $C \mathscr{D}^{\alpha}_t I|_{I=0} = 0 \geq 0$ . Based on Lemmas 5 and 6 in [40], we conclude that the solutions of model (8) are non-negative.  $\Box$ 

## 149 **3.** ANALYTICAL RESULTS

In this section, the dynamics of model (8) are shown analytically including the existence of equilibrium points, and their local and global stability.

**3.1. Existence of Equilibrium Points.** To find the equilibrium points of model (8), we must have

(9) 
$$[(r-\mu)-\omega_1S-(\omega_2+\beta)I]S+\eta I=0,$$

(10) 
$$[(\boldsymbol{\beta}-\boldsymbol{\omega}_4)\boldsymbol{S}-\boldsymbol{\omega}_3\boldsymbol{I}-(\boldsymbol{\eta}+\boldsymbol{\mu})]\boldsymbol{I}=\boldsymbol{0}.$$

152 If I = 0 is substituted to (9), we obtain

153 (11) 
$$[(r-\mu) - \omega_1 S] S = 0$$

From eq. (11), we get S = 0 and  $S = \frac{r-\mu}{\omega_1}$ . Thus, we have two equilibrium points here namely 154  $\mathscr{E}_0 = (0,0)$ , and  $\mathscr{E}_A = \left(\frac{r-\mu}{\omega_1},0\right)$ . The equilibrium point  $\mathscr{E}_0$  is called the origin point which 155 represents the extinction of both susceptible and infected populations. Since  $\mathscr{E}_0 \in \mathbb{R}^2_+$ , this 156 equilibrium point always exists. Furthermore, the equilibrium point  $\mathscr{E}_A$  is called the disease-157 free equilibrium point (DFEP) which describes the condition where the infectious disease does 158 not exist anymore in the population. According to the biological condition, it is natural that the 159 birth rate *r* is greater than its death rate  $\mu$ . By assuming  $r > \mu$ , the origin point  $\mathscr{E}_A \in \mathbb{R}^2_+$  also 160 always exists. By simple calculation, we also obtain the basic reproduction number  $\mathscr{R}_0$  given 161 by 162

163 (12) 
$$\mathscr{R}_0 = \frac{(r-\mu)\beta}{(r-\mu)\omega_4 + (\eta+\mu)\omega_1}$$

The basic reproduction number is utilized to show the dynamical behavior of each equilibrium point and to describe whether the infectious disease becomes endemic or not. Since  $r > \mu$ , the value of  $\mathscr{R}_0$  is always positive. Now, let's concern the eq. (9) and (10). By solving eq. (10), we attain

168 (13) 
$$S = \frac{\omega_3 I + (\eta + \mu)}{\beta - \omega_4}.$$

169 If we substitute eq. (13) to (9), the following polynomial equation holds.

170 (14) 
$$k_1 I^2 + k_2 I + k_3 = 0,$$

where

$$k_1 = ((\beta - \omega_4)(\beta + \omega_2) + \omega_1 \omega_3)\omega_3,$$
  

$$k_2 = (\beta - \omega_4)((\beta + \omega_2)\mu + (\omega_2 + \omega_4)\eta - (r - \mu)\omega_3) + 2(\eta + \mu)\omega_1\omega_3,$$
  

$$k_3 = \frac{(1 - \mathscr{R}_0)(r - \mu)(\eta + \mu)\beta}{\mathscr{R}_0}.$$

171 Therefore, we acquire the endemic point (EEP)

(15) 
$$\mathscr{E}_{I} = \left(\frac{\omega_{3}\bar{\gamma} + (\eta + \mu)}{\beta - \omega_{4}}, \bar{\gamma}\right),$$

where  $\bar{\gamma}$  is the positive root of polynomial equation (14). From (15), we find that  $\beta > \omega_4$  must be fulfilled so that  $\mathcal{E}_I \in \mathbb{R}^2_+$ . Moreover, EEP exists if  $\bar{\gamma} > 0$ . From eq. (14), we have  $k_1$  is always positive. Thus, the value of the  $\bar{\gamma}$  depends on  $k_2$  and  $k_3$ . Furthermore, eq. (14) has real number roots if  $k_2^2 \ge 4k_1k_3$ . By applying simple algebra, if  $k_3 > 0$  and  $k_2 < 0$  then we have two positive roots of eq. (14), if  $k_3 > 0$  and  $k_2 > 0$  then we do not have any positive roots of eq. (14), and if  $k_3 < 0$  then we have a positive root of eq. (14). Finally, we have the following theorem.

## **Theorem 3.** Let $\beta > \omega_4$ . The existence of EEP $\mathcal{E}_I$ is shown by the following statement.

180 (i) If  $k_2^2 < 4k_1k_3$  then  $\mathcal{E}_I$  does not exist.

181 (*ii*) If 
$$k_2^2 = 4k_1k_3$$
 and

- 182 (*ii.i*) if  $k_2 > 0$  then  $\mathcal{E}_I$  does not exist.
- 183 (*ii.ii*) if  $k_2 < 0$  then  $\mathcal{E}_I$  exists and unique.

184 (*iii*) If  $k_2^2 > 4k_1k_3$  and

- 185 (iii.i) if  $k_3 > 0$  and  $k_2 < 0$  then we have a pair of  $\mathcal{E}_I$ .
- 186 (*iii.ii*) if  $k_3 > 0$  and  $k_2 > 0$  then  $\mathcal{E}_I$  does not exist.
- 187 (iii.iii) if  $k_3 < 0$  then  $\mathcal{E}_I$  exists and unique.

Denote that  $k_2^2 > 4k_1k_3$  is always satisfied and  $k_3 < 0$  for  $\Re_0 > 1$ , then the following lemma holds.

190 **Lemma 4.** *EEP*  $\mathscr{E}_I$  *exists and unique if*  $\mathscr{R}_0 > 1$ .

**3.2. Local Dynamics.** The local dynamics of model (8) are obtained by applying the
Matignon condition which is defined as follows.

**Theorem 5.** [Matignon condition [36]] An equilibrium point  $\vec{x}^*$  is locally asymptotically stable (LAS) if all eigenvalues  $\lambda_j$  of the Jacobian matrix  $J = \frac{\partial \vec{f}}{\partial \vec{x}}$  at  $\vec{x}^*$  satisfy  $|\arg(\lambda_j)| > \frac{\alpha \pi}{2}$ . If there exists at least one eigenvalue satisfy  $|\arg(\lambda_k)| > \frac{\alpha \pi}{2}$  while  $|\arg(\lambda_l)| < \frac{\alpha \pi}{2}$ ,  $k \neq l$ , then  $\vec{x}^*$  is a saddle-point.

<sup>197</sup> Therefore, to study the local dynamics of model (8), we first compute its Jacobian matrix at <sup>198</sup> the point (S, I) which gives

199 (16) 
$$\mathscr{J}(S,I) = \begin{bmatrix} (r-\mu) - 2\omega_1 S - (\omega_2 + \beta)I & -(\omega_2 + \beta)S + \eta \\ (\beta - \omega_4)I & (\beta - \omega_4)S - 2\omega_3 I - (\eta + \mu) \end{bmatrix}$$

Obeying Theorem 5 and using Jacobian matrix (16), we discuss the local stability for each equilibrium point in the next subsection.

**3.3.** Dynamical behavior around  $\mathscr{E}_0$ . LAS condition of  $\mathscr{E}_0$  is obtained by identifying the eigenvalues of the Jacobian matrix (16) at the point (S, I) = (0, 0). We receive

$$\mathscr{J}(S,I)|_{\mathscr{E}_0} = \left[ egin{array}{cc} r-\mu & \eta \\ 0 & -(\eta+\mu) \end{array} 
ight].$$

Therefore, we have  $\lambda_1 = r - \mu$  and  $\lambda_2 = -(\eta + \mu)$ . Since  $r > \mu$  and  $\lambda_2 < 0$ , we have  $|\arg(\lambda_1)| = 0$  $0 < \frac{\alpha \pi}{2}$  and  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$ . According to Theorem 5, the following theorem holds.

**Theorem 6.** The origin point  $\mathcal{E}_0$  is always a saddle point.

10

**3.4.** Dynamical behavior around  $\mathscr{E}_A$ . For  $(x, y) = \left(\frac{r-\mu}{\omega_1}, 0\right)$ , the Jacobian matrix (16) becomes

$$\mathscr{J}(S,I)|_{\mathscr{E}_{A}} = \left[ \begin{array}{cc} -(r-\mu) & \eta - \frac{(\omega_{2}+\beta)(r-\mu)}{\omega_{1}} \\ 0 & \frac{(\mathscr{R}_{0}-1)(r-\mu)\beta}{\omega_{1}\mathscr{R}_{0}} \end{array} \right]$$

,

which gives a pair of eigenvalues  $\lambda_1 = -(r - \mu)$  and  $\lambda_2 = \frac{(\mathscr{R}_0 - 1)(r - \mu)\beta}{\omega_1 \mathscr{R}_0}$ . Denote  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$  as the impact of  $\lambda_1 < 0$ . Hence, the sign of  $\lambda_2$  takes the role in describing local dynamics around  $\mathscr{E}_A$ . To obtain  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$ , we need  $\lambda_2 < 0$  which is fulfilled if  $\mathscr{R}_0 < 1$ . If  $\mathscr{R}_0 > 1$ then  $|\arg(\lambda_2)| = 0 < \frac{\alpha \pi}{2}$ . Following the Matignon condition given in Theorem 5, the following theorem is successfully attained.

**Theorem 7.** If  $\mathscr{R}_0 < 1$  then  $\mathscr{E}_A$  is LAS and a saddle point if  $\mathscr{R}_0 > 1$ .

**3.5.** Dynamical behavior around  $\mathcal{E}_I$ . To identify the local stability of  $\mathcal{E}_I$ , we first compute the Jacobian matrix (16) evaluated at  $\mathcal{E}_I$ . We generate

213 (17) 
$$\mathscr{J}(S,I)|_{\mathscr{E}_{I}} = \begin{bmatrix} -\left[\frac{(\omega_{3}\bar{\gamma}+\eta+\mu)\omega_{1}}{\beta-\omega_{4}} + \frac{(\beta-\omega_{4})\eta\bar{\gamma}}{\omega_{3}\bar{\gamma}+\eta+\mu}\right] & -\frac{(\omega_{2}+\beta)(\omega_{3}\bar{\gamma}+\eta+\mu)}{\beta-\omega_{4}} + \eta \\ (\beta-\omega_{4})\bar{\gamma} & -\omega_{3}\bar{\gamma} \end{bmatrix}.$$

The eigenvalues of (17) are given by  $\lambda_1 = \frac{1}{2} \left( \xi_1 + \sqrt{\xi_1^2 - 4\xi_2} \right)$  and  $\lambda_2 = \frac{1}{2} \left( \xi_1 - \sqrt{\xi_1^2 - 4\xi_2} \right)$  where

$$\begin{split} \xi_1 &= -\left[\frac{(\omega_3\bar{\gamma}+\eta+\mu)\omega_1}{\beta-\omega_4} + \frac{(\beta-\omega_4)\eta\bar{\gamma}}{\omega_3\bar{\gamma}+\eta+\mu} + \omega_3\bar{\gamma}\right],\\ \xi_2 &= \left[\left(\frac{\omega_1\omega_3}{\beta-\omega_4} + \omega_2 + \beta\right)(\omega_3\bar{\gamma}+\eta+\mu) + \left(\frac{\omega_3\bar{\gamma}}{\omega_3\bar{\gamma}+\eta+\mu} + 1\right)(\beta-\omega_4)\eta\right]\bar{\gamma}. \end{split}$$

It is easy to proof that  $\xi_1 < 0$  and  $\xi_2 > 0$  since  $\beta > \omega_4$  becomes the existence condition. As the impact,  $|\arg(\lambda_i)| > \frac{\alpha \pi}{2}$ , i = 1, 2 and hence the LAS always hold for EEP. Thus, the following theorem holds.

**Theorem 8.** *EEP*  $\mathcal{E}_I$  *is always LAS.* 

**3.6.** Global Dynamics. In this subsection, the global dynamics of model (8) are studied. The biological conditions of equilibrium points are investigated so that those points are globally asymptotically stable (GAS). Since the origin is always a saddle point, we focus on studying GAS conditions for DFEP and EEP. The next two theorems are given for the global dynamics.

- **Theorem 9.** *DFEP*  $\mathscr{E}_A$  *is GAS if*  $\omega_1 > \frac{(\omega_2 + \beta)r}{\mu}$ .
- 223 Proof. We define a positive Lyapunov function as follows.

224 (18) 
$$\mathscr{V}_A(S,I) = \left(S - \frac{r-\mu}{\omega_1} - \frac{r-\mu}{\omega_1} \ln \frac{\omega_1 S}{r-\mu}\right) + I.$$

If we calculate the Caputo fractional derivative of  $\mathcal{V}_A(S,I)$  along the solution of model (8) and use Lemma 3.1 in [41], we get

Since  $\omega_1 > \frac{(\omega_2 + \beta)r}{\mu}$ , we have  ${}^C \mathscr{D}_t^{\alpha} \mathscr{V}_A(S, I) \leq 0$  for all  $(S, I) \in \mathbb{R}^2_+$ , and  ${}^C \mathscr{D}_t^{\alpha} \mathscr{V}_A(S, I) = 0$  only when  $(S, I) = \left(\frac{r - \mu}{\omega_1}, 0\right)$ . This means that the singleton  $\{\mathscr{E}_A\}$  is the only invariant set where  ${}^C \mathscr{D}_t^{\alpha} \mathscr{V}_A(S, I) = 0$ . By Lemma 4.6 in [42], we can conclude that every solution of model (8) tends to DFEP  $\mathscr{E}_A$ .

229

230 **Theorem 10.** *EEP* 
$$\mathscr{E}_I$$
 is GAS if  $\frac{\omega_2}{2} + \frac{\eta}{2\vartheta} + \frac{\eta}{2\vartheta} < \min{\{\omega_1, \omega_3\}}$ .

231 *Proof.* We first define  $\vartheta = \frac{\omega_3 \bar{\gamma} + (\eta + \mu)}{\beta - \omega_4}$  and hence  $\mathscr{E}_I = (\vartheta, \bar{\gamma})$ . Now, a positive Lyapunov function 232 is presented as follows.

233 (19) 
$$\mathscr{V}_{I}(S,I) = \left(S - \vartheta - \vartheta \ln \frac{S}{\varphi}\right) + \left(I - \bar{\gamma} - \bar{\gamma} \ln \frac{S}{\bar{\gamma}}\right)$$

Following Lemma 3.1 in [41], we reach

$$= -\omega_1 (S - \vartheta)^2 - \omega_3 (I - \bar{\gamma})^2 - (\omega_2 + \omega_4) (S - S^*) (I - \bar{\gamma})$$
  
$$\leq -\left(\omega_1 - \left(\frac{\omega_2}{2} + \frac{\omega_4}{2} + \frac{\eta}{2\vartheta}\right)\right) (S - \vartheta)^2 - \left(\omega_3 - \left(\frac{\omega_2}{2} + \frac{\omega_4}{2} + \frac{\eta}{2\vartheta}\right)\right) (I - \bar{\gamma})^2$$

Denote that  ${}^{C}\mathscr{D}_{t}^{\alpha}\mathscr{V}_{I}(S,I) \leq 0$  for all  $(S,I) \in \mathbb{R}^{2}_{+}$  as a result of  $\frac{\omega_{2}}{2} + \frac{\omega_{4}}{2} + \frac{\eta}{2\vartheta} < \min \{\omega_{1}, \omega_{3}\}$ . We also have that  ${}^{C}\mathscr{D}_{t}^{\alpha}\mathscr{V}_{I}(S,I) = 0$  only when  $(S,I) = (\vartheta, \bar{\gamma})$ . Therefore, the singleton  $\{\mathscr{E}_{I}\}$  is the only invariant set where  ${}^{C}\mathscr{D}_{t}^{\alpha}\mathscr{V}_{I}(S,I) = 0$ . Obeying Lemma 4.6 in [42], every solution of model (8) tends to EEP  $\mathscr{E}_{I}$ .



FIGURE 2. PRCC results for the parameters of  $\mathscr{R}_0$ 





(B) Contour plot on  $(\beta, \eta)$  – plane

FIGURE 3. Contour plots for the parameters respect to  $\mathscr{R}_0$ 



FIGURE 4. PRCC results for the parameters of I(t)

TABLE 1. PRCC results in respect to the population density of infected class
--

Parameter	Description	PRCC	Rank	Relationship with $I(t)$
$\omega_1$	The death rate of susceptible population due to the	-0.00851	6	Negative relationship
	intraspecific competition			
$\omega_2$	The death rate of susceptible population due to the	-0.01938	5	Negative relationship
	interspecific competition			
$\omega_3$	The death rate of infected population due to the in-	-0.01990	4	Negative relationship
	traspecific competition			
$\omega_4$	The death rate of infected population due to the inter-	-0.54635	1	Negative relationship
	specific competition			
β	The infection rate	0.54631	2	Positive relationship
η	The recovery rate	-0.43606	3	Negative relationship



(B) Time-series for  $\beta = 0.1, 0.2, 0.4$ , and 0.6

FIGURE 5. Bifurcation diagram and times-series of model (8) driven by the infection rate ( $\beta$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\eta$  in interval  $0 \le \eta \le 1$ 



(B) Time-series for  $\eta = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 6. Bifurcation diagram and times-series of model (8) driven by the recovery rate ( $\eta$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\omega_1$  in interval  $0 \le \omega_1 \le 1$ 



(B) Time-series for  $\omega_1 = 0.2, 0.3, 0.4$ , and 0.7

FIGURE 7. Bifurcation diagram and times-series of model (8) driven by the death rate of susceptible population due to intraspecific competition ( $\omega_1$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\omega_3$  in interval  $0 \le \omega_3 \le 1$ 



(B) Time-series for  $\omega_3 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 8. Bifurcation diagram and times-series of model (8) driven by the death rate of infected population due to intraspecific competition ( $\omega_3$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\omega_2$  in interval  $0 \le \omega_2 \le 1$ 



(B) Time-series for  $\omega_2 = 0.2, 0.4, 0.6$ , and 0.8

FIGURE 9. Bifurcation diagram and times-series of model (8) driven by the death rate of susceptible population due to interspecific competition ( $\omega_2$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\omega_4$  in interval  $0 \le \omega_4 \le 1$ 



(B) Time-series for  $\omega_4 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 10. Bifurcation diagram and times-series of model (8) driven by the death rate of infected population due to interspecific competition ( $\omega_4$ ) with parameter values given by eq. (20)



FIGURE 11. Time series of model (8) with parameter values given by eq. (20) for  $\alpha = 0.7, 0.8, 0.9, 1$ . (**a,b**) Time-series for  $0 \le t \le 500$ , (**c,d**) Local amplification of (a,b) around  $0 \le t \le 10$ , and (**c,d**) Local amplification of (a,b) around  $100 \le t \le 500$ 

22

### 238 4. GLOBAL SENSITIVITY ANALYSIS

In this section, the global sensitivity analysis is studied to investigate the most influential 239 parameters of model (8). Global sensitivity analysis is calculated using Partial Rank Coefficient 240 Correlation (PRCC) [43], where the random data processed in PRCC is generated using Saltelli 241 sampling [44]. Two biological components become the objective function for the PRCC namely 242 the basic reproduction number  $(\mathscr{R}_0)$  and the population density of infected class (I(t)). We 243 first investigate the most influential parameter to the basic reproduction number  $(\mathcal{R}_0)$ . From 244 eq. (12), we acquire that only r,  $\mu$ ,  $\omega_1$ ,  $\omega_4$ , and  $\eta$  have the influence on the value of  $\mathscr{R}_0$ . The 245 birth rate and the natural death rate also can be fixed since some cases in the epidemiological 246 model has the values of these parameters. Thus, only  $\beta$ ,  $\eta$ ,  $\omega_1$ , and  $\omega_4$  will be computed for 247 PRCC. The Figure 2 is given for the results. We have  $\beta = 0.763$ ,  $\omega_1 = -0.352$ ,  $\omega_4 = -0.33$ , 248 and  $\eta = -0.277$  as the coefficient correlation such that the infection rate ( $\beta$ ) becomes the most 249 influential parameter to  $\mathscr{R}_0$  and followed by  $\omega_1$ ,  $\omega_4$ , and  $\eta$ , respectively. It shows that the 250 infection rate ( $\beta$ ) as the most influential parameter has a positive relationship with the basic 251 reproduction number  $(\mathscr{R}_0)$  which means that  $\mathscr{R}_0$  will significantly increases when  $\beta$  increases. 252 The rest  $\omega_1$ ,  $\omega_4$ , and  $\eta$  have a negative relationship with  $\mathscr{R}_0$  which means that by reducing 253 the value of those parameters, the basic reproduction number  $(\mathscr{R}_0)$  will increases. To show the 254 impact of these parameters on  $\mathcal{R}_0$ , the contour plots are also portrayed in Figure 3. 255

Next, we identify the most influential parameter to the population density of infected class 256 (I(t)). Quite similar to previous work, the value of r and  $\mu$  are fixed but the rest of the pa-257 rameters are involved to compute PRCC. PRCC values are computed for  $0 \le t \le 50$  which 258 is considered sufficient enough to see the convergence for each parameter through the PRCC. 259 We portray the PRCC results in Figure 4 while the PRCC values, ranks, and the relationship 260 between each parameter and I(t) are given in Table 1. From those simulations, we conclude 261 that the death rate of infected population due to interspecific competition between susceptible 262 and infected classes ( $\omega_4$ ) become the most influential parameter to the population density (I(t)) 263 followed respectively by  $\beta$ ,  $\eta$ ,  $\omega_3$ ,  $\omega_2$ , and  $\omega_1$ . In the next section, the numerical simulations 264 including bifurcation diagram and time-series are presented to show the impact of the infection 265

23

rate ( $\beta$ ), recovery rate ( $\eta$ ), intraspecific competition ( $\omega_1$  and  $\omega_3$ ), and interspecific competition ( $\omega_2$  and  $\omega_4$ ) to the dynamical behaviors of model (8).

### 268 5. NUMERICAL SIMULATIONS

In this section, the dynamical behaviors of model (8) including bifurcation diagram and timeseries are studied numerically. To obtain the bifurcation diagram and the corresponding timeseries of model (8), the predictor-corrector scheme developed by Diethelm et al. is employed [45]. Since the model does not investigate a specific epidemiological case, we use hypothetical parameters for all numerical simulations. we set the parameter values as follows.

(20)

274 
$$r = 0.6, \ \mu = 0.1, \ \omega_1 = 0.1, \ \omega_2 = 0.1, \ \omega_3 = 0.1, \ \omega_4 = 0.1, \ \beta = 0.4, \ \eta = 0.2, \ \text{and} \ \alpha = 0.9$$

We start our work by investigating the impact of infection rate ( $\beta$ ) on the dynamics of model 275 (8). The value of  $\beta$  is varied in the interval  $0 \le \beta \le 1$  and we then compute the numerical 276 solutions. To obtain the bifurcation diagram, we plot the tail of solutions for each  $\beta$  together 277 with the LAS condition of  $\mathscr{E}_A$ . As result, we obtain a bifurcation diagram as in Figure 5a. When 278  $0 \le \beta < \beta^*$ ,  $\beta^* = 0.16$ , the EEP  $\mathscr{E}_I$  does not exist and Theorem 7 is satisfied which means 279 that DFE  $\mathscr{E}_A$  is LAS. The solution is convergent to  $\mathscr{E}_A$  which indicates the population free from 280 disease. When  $\beta$  passes through  $\beta^*$ ,  $\mathcal{E}_A$  losses its stability, and unique LAS EEP  $\mathcal{E}_I$  occurs 281 in the interior. The infectious disease becomes endemic in the population and still exists for 282 all  $t \to \infty$ . From the concatenation of those biological circumstances, we conclude that forward 283 bifurcation occurs around  $\mathcal{E}_A$  where  $\beta$  is the bifurcation parameter and  $\beta = \beta^*$  is the bifurcation 284 point. It is easy to examine that the bifurcation point  $\beta = \beta^*$  is equal to  $\Re_0 = 1$ . The dynamical 285 behaviors are maintained for  $\beta^* < \beta \leq 1$ . To support these conditions, some time series are 286 given in Figure 5b to show the convergence of solutions for different values of  $\beta$ . 287

Next, the impact of recover rate  $(\eta)$  is studied. A similar numerical scheme as the previous way is applied. To depicts the bifurcation diagram, the parameter is fixed as in eq. (20) and the recovery rate  $(\eta)$  is varied in interval  $0 \le \eta \le 1$ . We have Figure 6a as the result. Denote that the bifurcation does not exist for this interval. Both DFEP and EEP exist with distinct stability. The DFEP  $\mathscr{E}_A$  is a saddle point while the EEP  $\mathscr{E}_I$  is LAS which confirm the validity of Theorems 6 and 7. We also confirm that the EEP  $\mathscr{E}_I$  attains GAS which means that all initial conditions will go right to the EEP and the infectious disease will exist all the time. Although the disease becomes endemic, the numerical simulation shows that the value of  $\eta$  is directly proportional to S(t) and inversely proportional to I(t), see Figure 6b. This means the population density of the infected class can be reduced by increasing the recovery rate ( $\eta$ ).

For the next simulation, the impact of intraspecific competition is investigated. The death 298 rate parameters caused by intraspecific competition on susceptible and infected classes ( $\omega_1$  and 299  $\omega_3$ ) are varied in interval [0,1]. It is found that forward bifurcation occurs when  $\omega_1$  is driven 300 where the bifurcation point is given by  $\omega_1^* = 0.5$ , see Figure 7a. The population density of 301 both susceptible and infected classes reduces when the death rate of S(t) due to intraspecific 302 competition increases as given by Figure 7b. Particularly, Figure 8a shows that bifurcation does 303 not exists in interval  $0 \le \omega_1 \le 1$  when  $\omega_1$  is varied but the dynamical behaviors show that S(t)304 increases and I(t) decrease when  $\omega_1$  increase. We confirm this condition by giving time-series 305 in Figure 8b. 306

Now, we study the impact of interspecific competition on the dynamical behaviors of model 307 (8). Both susceptible and infected classes have died due to the existence of interspecific com-308 petition given by parameters  $\omega_2$  and  $\omega_4$ . By varying  $\omega_2$  and  $\omega_4$  in interval [0,1], we obtain 309 Figures 9a and 10a as the bifurcation diagram. We find forward bifurcation driven by  $\omega_4$  which 310 does not exist when varying  $\omega_1$ . This means, the EEP still exists and LAS for  $0 \le \omega_2 \le 1$ . 311 The EEP will disappear via forward bifurcation and the saddle DFEP becomes LAS when  $\omega_4$ 312 crosses  $\omega_4^* = 0.34$ . This guarantees that the infectious disease may eliminate the disease in pop-313 ulation when the death rate of the infected population due to interspecific competition increases 314 as shown in Figure 10b. Although the disease does not disappear when  $\omega_2$  is driven, we also can 315 see in Figure 9b that by increasing  $\omega_2$ , the population density of the infected class will reduce 316 and the susceptible class will increase. 317

Finally, the impact of memory effect ( $\alpha$ ) is investigated. The numerical simulation is given by Figure 11. For  $\alpha = 0.7, 0.8, 0.9, 1$  and similar initial values, all solution converge to single equilibrium point given by  $\mathscr{E}_I \approx (1.3465, 1.0395)$ , see Figure 11(a,b). We then plot the local amplification to show the difference of solutions when  $\alpha$  is varied. We find that the difference lies in the convergence rate where for larger values of  $\alpha$ , the convergence rate increase and vice versa as shown in Figure 11(e,f). In the beginning, Figure 11(c,d) we show that when  $\alpha$ decrease, the population density of the infected class reduce. From a biological point of view, we can say that biological memory has an impact on the density of both susceptible and infected classes.

### 327 **6.** CONCLUSION

The dynamics of a fractional-order SIS-epidemic model with intraspecific and interspecific 328 competition have been studied. The validity of the model has been confirmed analytically by 329 showing the existence, uniqueness, non-negativity, and boundedness of solutions. Three equi-330 librium points have been obtained namely the origin, the disease-free equilibrium point, and 331 the endemic equilibrium point. Both origin and disease-free equilibrium points always exist 332 while the endemic equilibrium point conditionally exists. The basic reproduction number  $\mathcal{R}_0$ 333 has been given which has a relationship with the local stability of the model. If  $\Re_0 < 1$  then the 334 disease-free equilibrium point is locally asymptotically stable and if  $\Re_0 > 1$  then the disease-335 free equilibrium point losses its stability along with the existence of a locally asymptotically 336 stable endemic equilibrium point. The global stability conditions of equilibrium points also 337 have been found. The PRCC has been worked to investigate the most influential parameter. 338 We have successfully shown that the infection rate and the death rate of the infected population 339 due to interspecific competition becomes the most influential parameter for basic reproduction 340 number and the population density of the infected class. We then investigate the impact of sev-341 eral parameters using numerical simulations including the infection rate, the recovery rate, the 342 intraspecific competition, the interspecific competition, and the memory effect on the dynamics 343 of the model. Bifurcation diagrams and time series have been given which show the existence 344 of forward bifurcation, the decrease of susceptible and infected classes, and the decrease of 345 convergence rate caused by the memory effect. 346

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#### 350 **CONFLICT OF INTERESTS**

351 The author(s) declare that there is no conflict of interests.

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## DYNAMICS OF SIS-EPIDEMIC MODEL WITH COMPETITION INVOLVING FRACTIONAL-ORDER DERIVATIVE WITH POWER-LAW KERNEL

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**Abstract.** Infectious disease and competition play important roles in the dynamics of a population due to their capability to increase the mortality rate for each organism. In this paper, the dynamical behaviors of a single species population are studied by considering the existence of the infectious disease, intraspecific competition, and interspecific competition. The fractional-order derivative with a power-law kernel is utilized to involve the impact of the memory effect. The population is divided into two compartments namely the susceptible class and the infected class. The existence, uniqueness, non-negativity, and boundedness of the solution are investigated to confirm the biological validity. Three types of feasible equilibrium points are identified namely the origin, the disease-free, and the endemic points. All biological conditions which present the local and global stability are investigated. The global sensitivity analysis is given to investigate the most influential parameter to the basic reproduction number and the density of each class. Some numerical simulations including bifurcation diagrams and time series are also portrayed to explore more the dynamical behaviors.

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### **1.** INTRODUCTION

The spread of infectious disease still becomes a fundamental issue not only because of the existence of the population but also to maintain the balance of biological systems. Several scientific methods are developed to discover better ways to suppress and control the rate of disease infection [1]. The preferred ways for the last decades for this epidemiological problems are given by mathematical approach using a deterministic model which is considered efficacious to understand the mechanisms of disease transmission and evaluate the appropriate control strategies [2, 3, 4]. The fundamental one which has become the basis of epidemiological modeling is given by [5] which develops the continuous-time deterministic model using first-order derivative as the operator. This model is successfully developed in couple of ways such as the continuous-time single species epidemiological modeling [10, 11, 12], the stochastic single-species epidemiological modeling [13, 14], and the continuous-time eco-epidemiological modeling eling [15, 16, 17].

Apart from those operators, several researchers prefer to use the fractional-order derivative to accomplish their problems the biological modeling. See [18, 19, 20] and references therein for some examples in epidemiological modeling. The fractional-order derivative is chosen by considering the capability of this operator to describe the current state of the biological object as the impact of all of its previous conditions which are known as the memory effect [21, 22]. In the epidemiological model, the transmission of disease may slow down and be forestalled by the susceptible population as the impact of the memory [23]. Some fractional-order derivative has been developed and successfully applied in epidemiological modeling such as the Riemann-Liouville, Caputo, Caputo-Fabrizio, and Atangana-Baleanu [24, 25, 26, 27]. From all of the given operators, the Caputo fractional-order derivative has the complete tools for dynamical analysis such as the existence and uniqueness, non-negativity and boundedness, local dynamics, global dynamics, and some bifurcation analysis. Consequently, the Caputo operator will be used in this paper where defined later in the next section.

In this work, we develop the epidemiological model based on the SIR model given by [5]. For single-species conditions, this model is only popular for the infectious diseases that appeared in the human population. In facts, infectious diseases also threaten the existence of the animal population which disturbs the balance of the ecosystem. For examples, the infectious diseases in endemic species such as Orangutans [28], Tarsius [29], Sumatran Tiger [30], and Komodo dragon [31]. Moreover, the natural behaviors of animals that endanger the existence of their populations are the intraspecific competition among them to preserve their food sources [32, 33, 34]. For these reasons, developing and investigating the dynamics of the epidemiological model by considering the impact of intraspecific competition and the memory effect are critical issues that become the novelty of our research.

The whole of this paper is organized in the following procedure: In Section 2, the mathematical modeling consists of model formulation, existence, uniqueness, non-negativity, and boundedness are given. The analytical results including the existence of equilibrium points and their local and global dynamics are completely investigated in Section 3. To show the most influential parameter of the model, the global sensitivity analysis is provided by Section 4. Some numerical simulations as well as bifurcation diagrams and time-series are presented in Section 5 to explore more about the dynamical behaviors of the model. This work ends by giving a conclusion in Section 6.

### **2.** MATHEMATICAL MODELING

This section studies about mathematical modeling consisting of the model formulation, existence, uniqueness, non-negativity, and boundedness of solution. The mathematical model is constructed by a deterministic approach using a differential equation. We first give some assumptions to restrain the model so it does not get too complicated. We next interpret the giving assumptions to the mathematical formula using the first-order derivative as the operator. A diagram is presented to show the impact of each assumption on the flow of population density for each compartment. To involve the impact of the memory effect, the Caputo fractional-order derivative is applied to the model. For the mathematical model's validity, we show that the solution of the model always exists, unique, non-negative, and bounded. **2.1.** Model Formulation. In this work, the model is constructed from a single population growth model. We first assume there exists a population in a habitat that grows proportionally to its density and bounded due to the intraspecific competition. Let N(t) be the population at time *t*, *r* is the birth rate,  $\mu$  is the natural death rate, and  $\omega$  is the death rate as a result of competition. Thus, we have a first-order differential equation as follows.

(1) 
$$\frac{dN}{dt} = (r - \mu)N - \omega N^2.$$

Next, we assume that the population is exposed by infectious disease. The population N is divided into two compartments namely the susceptible class (S) and infected class (I) where N = S + I. The susceptible class is infected by disease bilinearly with infection rate  $\beta$ . The competition is divided into two cases namely the intraspecific competition for each susceptible and infected class, and the interspecific competition between susceptible and infected classes. As result, the following model is received.

(2)  
$$\frac{dS}{dt} = (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI,$$
$$\frac{dI}{dt} = (\beta - \omega_4)SI - \omega_3 I^2 - \mu I,$$

where  $\omega_i$ , i = 1, 2 respectively denote the death rate of the susceptible population as the results of intraspecific and interspecific competitions between susceptible and susceptible classes, and susceptible and infected classes. The parameters  $\omega_i$ , i = 3, 4 denote the death rate of the infected population as the result of competition between infected and infected classes, and susceptible and infected classes. In our works, we also assume that each organism has the capability to survive the disease. Thus, we define  $\eta$  as the recovery rate. Since each organism that survives from the disease has a chance to be re-infected, this type of population will be again susceptible. Finally, we have a mathematical model as follows.

(3)  
$$\frac{dS}{dt} = (r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I,$$
$$\frac{dI}{dt} = (\beta - \omega_4)SI - \omega_3 I^2 - (\eta + \mu)I.$$

All of the given assumptions and their mathematical modeling are described in Figure 1.

Now, the Caputo fractional-order derivative will be applied in order to conduct the impact of the memory effect on the population growth rate. The similar procedure is adopted from



FIGURE 1. Compartment diagram of model (3)

[35]. The first-order derivatives on the left-hand side of model (3) are replaced by the Caputo fractional-order derivative defined as follows.

**Definition 1.** [36] Suppose  $0 < \alpha \le 1$ . The Caputo fractional derivative of order $-\alpha$  is defined by

(4) 
$${}^{C}\mathscr{D}_{t}^{\alpha}f(t) = \frac{1}{\Gamma(1-\alpha)}\int_{0}^{t}(t-s)^{-\alpha}f'(s)ds,$$

where  $t \ge 0$ ,  $f \in C^n([0, +\infty), \mathbb{R})$ , and  $\Gamma$  is the Gamma function.

Applying Definition 1 to eq. (3), the following model is obtained.

(5)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I,$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I.$$

Since the given process above makes the dimension of time at the left-hand side become  $t^{\alpha}$ , some parameters need to be rescaled so that there are no differences between the time's dimensions at the left-hand side with the right-hand side of model (5). By applying time rescale to some parameters, we have the model as follows.

(6)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (r^{\alpha} - \mu^{\alpha})S - \omega_{1}^{\alpha}S^{2} - (\omega_{2}^{\alpha} + \beta^{\alpha})SI + \eta^{\alpha}I,$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\beta^{\alpha} - \omega_{4}^{\alpha})SI - \omega_{3}^{\alpha}I^{2} - (\eta^{\alpha} + \mu^{\alpha})I.$$

Let  $r^{\alpha} = \hat{r}$ ,  $\mu^{\alpha} = \hat{\mu}$ ,  $\omega_1^{\alpha} = \hat{\omega}_1$ ,  $\omega_2^{\alpha} = \hat{\omega}_2$ ,  $\omega_3^{\alpha} = \hat{\omega}_3$ ,  $\omega_4^{\alpha} = \hat{\omega}_4$ ,  $\beta^{\alpha} = \hat{\beta}$ , and  $\eta^{\alpha} = \hat{\eta}$ . Thus, we acquire

(7)  

$$C \mathscr{D}_{t}^{\alpha} S = (\hat{r} - \hat{\mu})S - \hat{\omega}_{1}S^{2} - (\hat{\omega}_{2} + \hat{\beta})SI + \hat{\eta}I,$$

$$C \mathscr{D}_{t}^{\alpha} I = (\hat{\beta} - \hat{\omega}_{4})SI - \hat{\omega}_{3}I^{2} - (\hat{\eta} + \hat{\mu})I.$$

For simplicity, by dropping . for each parameter, we obtain the final model as follows.

(8)  

$${}^{C}\mathscr{D}_{t}^{\alpha}S = (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I = F_{1}(N(t)),$$

$${}^{C}\mathscr{D}_{t}^{\alpha}I = (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I = F_{2}(N(t)).$$

Equation (8) is the final proposed model in this paper. Although model (8) seems classic and simple, this model will be powerful to solve and investigate the existence of a closed population in a certain area without any outside intervention. Our literature review also shows that the model (8) has heretofore never been studied. Now, the basic properties of model (8) such as the existence uniqueness, non-negativity, and boundedness are investigated to confirm its biological validity.

**2.2.** Existence and Uniqueness. In this subsection, we will show that the model (8) has a unique solution. A similar manner given by [37] is used. Thus, the following theorem is presented to show the existence and uniqueness of the solution of model (8).

**Theorem 1.** *The model* (8) *with initial condition*  $S(0) = S_0 \ge 0$  *and*  $I(0) = I_0 \ge 0$  *has a unique solution.* 

*Proof.* Consider model (8) with positive initial condition with  $F : [0, \infty) \to \mathbb{R}^2$  where  $F(N) = (F_1(N), F_2(N)), N \equiv N(t)$  and  $\theta \equiv \{(S, I) \in \mathbb{R}^2_+ : \max\{|S|, |I|\} \le M\}$  for sufficiently large M. Then, for any N = (S, I) and  $\bar{N} = (\bar{S}, \bar{I}), N, \bar{N} \in \theta$ , we have

$$\begin{split} \|F(N) - F(\bar{N})\| \\ &= |F_1(N) - F_1(\bar{N})| + |F_2(N) - F_2(\bar{N})| \\ &= |\left[(r - \mu)S - \omega_1 S^2 - (\omega_2 + \beta)SI + \eta I\right] - \left[(r - \mu)\bar{S} - \omega_1\bar{S}^2 - (\omega_2 + \beta)\bar{S}\bar{I} + \eta\bar{I}\right]| + \\ &\left|\left[(\beta - \omega_4)SI - \omega_3 I^2 - (\eta + \mu)I\right] - \left[(\beta - \omega_4)\bar{S}\bar{I} - \omega_3\bar{I}^2 - (\eta + \mu)\bar{I}\right]\right| \\ &\leq (r + \mu) \left|S - \bar{S}\right| + \omega_1 \left|S^2 - \bar{S}^2\right| + (\omega_2 + \beta) \left|SI - \bar{S}\bar{I}\right| + \eta \left|I - \bar{I}\right| + (\beta + \omega_4) \left|SI - \bar{S}\bar{I}\right| \\ &+ \omega_3 \left|I^2 - \bar{I}^2\right| + (\eta + \mu) \left|I - \bar{I}\right| \\ &= (r + \mu) \left|S - \bar{S}\right| + \omega_1 \left|(S + \bar{S})(S - \bar{S})\right| + (\omega_2 + \omega_4 + 2\beta) \left|I(S - \bar{S}) + \bar{S}(I - \bar{I})\right| \\ &+ (2\eta + \mu) \left|I - \bar{I}\right| + \omega_3 \left|(I + \bar{I})(I - \bar{I})\right| \end{split}$$

$$\leq (r+\mu) |S-\bar{S}| + 2\omega_1 M |S-\bar{S}| + (\omega_2 + \omega_4 + 2\beta) M |S-\bar{S}|$$

$$+ (\omega_2 + \omega_4 + 2\beta) M |I-\bar{I}| + (2\eta + \mu) |I-\bar{I}| + 2\omega_3 M |I-\bar{I}|$$

$$= [(r+\mu) + 2\omega_1 M + (\omega_2 + \omega_4 + 2\beta) M] |S-\bar{S}|$$

$$+ [(\omega_2 + \omega_4 + 2\beta) M + (2\eta + \mu) + 2\omega_3 M] |I-\bar{I}|$$

$$\leq L ||N-\bar{N}||,$$

where  $L = (\omega_2 + \omega_4 + 2\beta)M + \mu + \max\{r + 2\omega_1M, 2(\eta + \omega_3M)\}$ . Therefore, F(N) stisfies the Lipschitz condition. Obeying Lemma 5 in [38], we conclude that model (8) with positive initial condition has a unique solution.

**2.3.** Non-negativity and Boundedness. The non-negativity and boundedness properties of the solutions of the model (8) are given in the following theorem.

**Theorem 2.** All solution of the model (8), which start in  $\mathbb{R}^2_+ := \{(S,I) | S \ge 0, I \ge 0, (S,I) \in \mathbb{R}^2\}$  are uniformly bounded and non-negative.

*Proof.* To prove the boundedness of the solutions of the model (8), the same approach of [38] is adopted. Let consider the function N = S + I. Then,

$${}^{C}\mathscr{D}_{t}^{\alpha}N = {}^{C}\mathscr{D}_{t}^{\alpha}S + {}^{C}\mathscr{D}_{t}^{\alpha}I$$
$$= (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\beta)SI + \eta I + (\beta - \omega_{4})SI - \omega_{3}I^{2} - (\eta + \mu)I$$
$$= (r-\mu)S - \omega_{1}S^{2} - (\omega_{2}+\omega_{4})SI - \omega_{3}I^{2} - \mu I.$$

Hence, for each  $\mu > 0$ ,

$${}^{C}\mathscr{D}_{t}^{\alpha}N + \mu N = (r - \mu)S - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2} - \mu I + \mu S + \mu I$$
$$= rS - \omega_{1}S^{2} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2}$$
$$= -\omega_{1}\left(S - \frac{r}{2\omega_{1}}\right)^{2} + \frac{r^{2}}{4\omega_{1}} - (\omega_{2} + \omega_{4})SI - \omega_{3}I^{2}$$
$$\leq \frac{r^{2}}{4\omega_{1}}$$

By using the comparison theorem in [39], we obtain  $N(t) \leq N(0)E_{\alpha}(-\mu t^{\alpha}) + \frac{r^2}{4\omega_l}t^{\alpha}E_{\alpha,\alpha+1}(-\mu t^{\alpha})$ , where  $E_{\alpha}$  and  $E_{\alpha,\alpha+1}$  is the Mittag-Leffler function with one and two parameters. According to Lemma 5 and Corollary 6 in [39], we have  $N(t) \leq \frac{r^2}{4\mu\omega_l}$ , as  $t \to \infty$ . Therefore, all solutions of model (8) starting in  $\mathbb{R}^2_+$  are uniformly bounded in the region  $\Phi$ , where  $\Phi = \left\{ (S,I) \in \mathbb{R}^2_+ : S + I \leq \frac{r^2}{4\mu\omega_l} + \varepsilon, \varepsilon > 0 \right\}$  Next, we prove that all solutions of model (8) are non-negative. By model (8), we have  $C \mathcal{D}^{\alpha}_t S|_{S=0} = \eta I \geq 0$  and  $C \mathcal{D}^{\alpha}_t I|_{I=0} = 0 \geq 0$ . Based on Lemmas 5 and 6 in [40], we conclude that the solutions of model (8) are non-negative.  $\Box$ 

## **3.** ANALYTICAL RESULTS

In this section, the dynamics of model (8) are shown analytically including the existence of equilibrium points, and their local and global stability.

**3.1. Existence of Equilibrium Points.** To find the equilibrium points of model (8), we must have

(9) 
$$[(r-\mu)-\omega_1S-(\omega_2+\beta)I]S+\eta I=0,$$

(10) 
$$[(\boldsymbol{\beta}-\boldsymbol{\omega}_4)\boldsymbol{S}-\boldsymbol{\omega}_3\boldsymbol{I}-(\boldsymbol{\eta}+\boldsymbol{\mu})]\boldsymbol{I}=\boldsymbol{0}.$$

If I = 0 is substituted to (9), we obtain

(11) 
$$[(r-\mu)-\omega_1 S]S=0$$

From eq. (11), we get S = 0 and  $S = \frac{r-\mu}{\omega_1}$ . Thus, we have two equilibrium points here namely  $\mathscr{E}_0 = (0,0)$ , and  $\mathscr{E}_A = \left(\frac{r-\mu}{\omega_1}, 0\right)$ . The equilibrium point  $\mathscr{E}_0$  is called the origin point which represents the extinction of both susceptible and infected populations. Since  $\mathscr{E}_0 \in \mathbb{R}^2_+$ , this equilibrium point always exists. Furthermore, the equilibrium point  $\mathscr{E}_A$  is called the disease-free equilibrium point (DFEP) which describes the condition where the infectious disease does not exist anymore in the population. According to the biological condition, it is natural that the birth rate r is greater than its death rate  $\mu$ . By assuming  $r > \mu$ , the origin point  $\mathscr{E}_A \in \mathbb{R}^2_+$  also always exists. By simple calculation, we also obtain the basic reproduction number  $\mathscr{R}_0$  given by

(12) 
$$\mathscr{R}_0 = \frac{(r-\mu)\beta}{(r-\mu)\omega_4 + (\eta+\mu)\omega_1}$$

The basic reproduction number is utilized to show the dynamical behavior of each equilibrium point and to describe whether the infectious disease becomes endemic or not. Since  $r > \mu$ , the value of  $\mathscr{R}_0$  is always positive. Now, let's concern the eq. (9) and (10). By solving eq. (10), we attain

(13) 
$$S = \frac{\omega_3 I + (\eta + \mu)}{\beta - \omega_4}.$$

If we substitute eq. (13) to (9), the following polynomial equation holds.

(14) 
$$k_1 I^2 + k_2 I + k_3 = 0,$$

where

$$\begin{aligned} k_1 &= ((\beta - \omega_4)(\beta + \omega_2) + \omega_1 \omega_3)\omega_3, \\ k_2 &= (\beta - \omega_4)((\beta + \omega_2)\mu + (\omega_2 + \omega_4)\eta - (r - \mu)\omega_3) + 2(\eta + \mu)\omega_1\omega_3, \\ k_3 &= \frac{(1 - \mathscr{R}_0)(r - \mu)(\eta + \mu)\beta}{\mathscr{R}_0}. \end{aligned}$$

Therefore, we acquire the endemic point (EEP)

(15) 
$$\mathscr{E}_{I} = \left(\frac{\omega_{3}\bar{\gamma} + (\eta + \mu)}{\beta - \omega_{4}}, \bar{\gamma}\right),$$

where  $\bar{\gamma}$  is the positive root of polynomial equation (14). From (15), we find that  $\beta > \omega_4$  must be fulfilled so that  $\mathscr{E}_I \in \mathbb{R}^2_+$ . Moreover, EEP exists if  $\bar{\gamma} > 0$ . From eq. (14), we have  $k_1$  is always positive. Thus, the value of the  $\bar{\gamma}$  depends on  $k_2$  and  $k_3$ . Furthermore, eq. (14) has real number roots if  $k_2^2 \ge 4k_1k_3$ . By applying simple algebra, if  $k_3 > 0$  and  $k_2 < 0$  then we have two positive roots of eq. (14), if  $k_3 > 0$  and  $k_2 > 0$  then we do not have any positive roots of eq. (14), and if  $k_3 < 0$  then we have a positive root of eq. (14). Finally, we have the following theorem.

**Theorem 3.** Let  $\beta > \omega_4$ . The existence of EEP  $\mathcal{E}_I$  is shown by the following statement.

- (i) If  $k_2^2 < 4k_1k_3$  then  $\mathcal{E}_I$  does not exist.
- (ii) If  $k_2^2 = 4k_1k_3$  and (ii.i) if  $k_2 > 0$  then  $\mathcal{E}_I$  does not exist. (ii.ii) if  $k_2 < 0$  then  $\mathcal{E}_I$  exists and unique.

(*iii*) If  $k_2^2 > 4k_1k_3$  and
(iii.i) if  $k_3 > 0$  and  $k_2 < 0$  then we have a pair of  $\mathcal{E}_I$ . (iii.ii) if  $k_3 > 0$  and  $k_2 > 0$  then  $\mathcal{E}_I$  does not exist. (iii.iii) if  $k_3 < 0$  then  $\mathcal{E}_I$  exists and unique.

Denote that  $k_2^2 > 4k_1k_3$  is always satisfied and  $k_3 < 0$  for  $\Re_0 > 1$ , then the following lemma holds.

**Lemma 4.** *EEP*  $\mathcal{E}_I$  *exists and unique if*  $\mathcal{R}_0 > 1$ .

**3.2.** Local Dynamics. The local dynamics of model (8) are obtained by applying the Matignon condition which is defined as follows.

**Theorem 5.** [Matignon condition [36]] An equilibrium point  $\vec{x}^*$  is locally asymptotically stable (LAS) if all eigenvalues  $\lambda_j$  of the Jacobian matrix  $J = \frac{\partial \vec{f}}{\partial \vec{x}}$  at  $\vec{x}^*$  satisfy  $|\arg(\lambda_j)| > \frac{\alpha \pi}{2}$ . If there exists at least one eigenvalue satisfy  $|\arg(\lambda_k)| > \frac{\alpha \pi}{2}$  while  $|\arg(\lambda_l)| < \frac{\alpha \pi}{2}$ ,  $k \neq l$ , then  $\vec{x}^*$  is a saddle-point.

Therefore, to study the local dynamics of model (8), we first compute its Jacobian matrix at the point (S, I) which gives

(16) 
$$\mathscr{J}(S,I) = \begin{bmatrix} (r-\mu) - 2\omega_1 S - (\omega_2 + \beta)I & -(\omega_2 + \beta)S + \eta \\ (\beta - \omega_4)I & (\beta - \omega_4)S - 2\omega_3 I - (\eta + \mu) \end{bmatrix}$$

Obeying Theorem 5 and using Jacobian matrix (16), we discuss the local stability for each equilibrium point in the next subsection.

**3.3. Dynamical behavior around**  $\mathscr{E}_0$ . LAS condition of  $\mathscr{E}_0$  is obtained by identifying the eigenvalues of the Jacobian matrix (16) at the point (S, I) = (0, 0). We receive

$$\mathscr{J}(S,I)|_{\mathscr{E}_0} = \left[ egin{array}{cc} r-\mu & \eta \\ 0 & -(\eta+\mu) \end{array} 
ight].$$

Therefore, we have  $\lambda_1 = r - \mu$  and  $\lambda_2 = -(\eta + \mu)$ . Since  $r > \mu$  and  $\lambda_2 < 0$ , we have  $|\arg(\lambda_1)| = 0 < \frac{\alpha \pi}{2}$  and  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$ . According to Theorem 5, the following theorem holds.

**Theorem 6.** The origin point  $\mathcal{E}_0$  is always a saddle point.

**3.4.** Dynamical behavior around  $\mathscr{E}_A$ . For  $(x, y) = \left(\frac{r-\mu}{\omega_1}, 0\right)$ , the Jacobian matrix (16) becomes

$$\left. \mathscr{J}(S,I) \right|_{\mathscr{E}_{A}} = \left[ \begin{array}{cc} -(r-\mu) & \eta - \frac{(\omega_{2}+\beta)(r-\mu)}{\omega_{1}} \\ 0 & \frac{(\mathscr{R}_{0}-1)(r-\mu)\beta}{\omega_{1}\mathscr{R}_{0}} \end{array} \right]$$

,

which gives a pair of eigenvalues  $\lambda_1 = -(r - \mu)$  and  $\lambda_2 = \frac{(\Re_0 - 1)(r - \mu)\beta}{\omega_1 \Re_0}$ . Denote  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$  as the impact of  $\lambda_1 < 0$ . Hence, the sign of  $\lambda_2$  takes the role in describing local dynamics around  $\mathscr{E}_A$ . To obtain  $|\arg(\lambda_2)| = \pi > \frac{\alpha \pi}{2}$ , we need  $\lambda_2 < 0$  which is fulfilled if  $\Re_0 < 1$ . If  $\Re_0 > 1$  then  $|\arg(\lambda_2)| = 0 < \frac{\alpha \pi}{2}$ . Following the Matignon condition given in Theorem 5, the following theorem is successfully attained.

**Theorem 7.** If  $\mathscr{R}_0 < 1$  then  $\mathscr{E}_A$  is LAS and a saddle point if  $\mathscr{R}_0 > 1$ .

**3.5.** Dynamical behavior around  $\mathcal{E}_I$ . To identify the local stability of  $\mathcal{E}_I$ , we first compute the Jacobian matrix (16) evaluated at  $\mathcal{E}_I$ . We generate

(17) 
$$\mathscr{J}(S,I)|_{\mathscr{E}_{I}} = \begin{bmatrix} -\left[\frac{(\omega_{3}\bar{\gamma}+\eta+\mu)\omega_{1}}{\beta-\omega_{4}} + \frac{(\beta-\omega_{4})\eta\bar{\gamma}}{\omega_{3}\bar{\gamma}+\eta+\mu}\right] & -\frac{(\omega_{2}+\beta)(\omega_{3}\bar{\gamma}+\eta+\mu)}{\beta-\omega_{4}} + \eta \\ (\beta-\omega_{4})\bar{\gamma} & -\omega_{3}\bar{\gamma} \end{bmatrix}.$$

The eigenvalues of (17) are given by  $\lambda_1 = \frac{1}{2} \left( \xi_1 + \sqrt{\xi_1^2 - 4\xi_2} \right)$  and  $\lambda_2 = \frac{1}{2} \left( \xi_1 - \sqrt{\xi_1^2 - 4\xi_2} \right)$  where

$$\begin{split} \xi_1 &= -\left[\frac{(\omega_3\bar{\gamma}+\eta+\mu)\omega_1}{\beta-\omega_4} + \frac{(\beta-\omega_4)\eta\bar{\gamma}}{\omega_3\bar{\gamma}+\eta+\mu} + \omega_3\bar{\gamma}\right],\\ \xi_2 &= \left[\left(\frac{\omega_1\omega_3}{\beta-\omega_4} + \omega_2 + \beta\right)(\omega_3\bar{\gamma}+\eta+\mu) + \left(\frac{\omega_3\bar{\gamma}}{\omega_3\bar{\gamma}+\eta+\mu} + 1\right)(\beta-\omega_4)\eta\right]\bar{\gamma}. \end{split}$$

It is easy to proof that  $\xi_1 < 0$  and  $\xi_2 > 0$  since  $\beta > \omega_4$  becomes the existence condition. As the impact,  $|\arg(\lambda_i)| > \frac{\alpha \pi}{2}$ , i = 1, 2 and hence the LAS always hold for EEP. Thus, the following theorem holds.

**Theorem 8.** *EEP*  $\mathcal{E}_I$  *is always LAS.* 

**3.6.** Global Dynamics. In this subsection, the global dynamics of model (8) are studied. The biological conditions of equilibrium points are investigated so that those points are globally asymptotically stable (GAS). Since the origin is always a saddle point, we focus on studying GAS conditions for DFEP and EEP. The next two theorems are given for the global dynamics.

**Theorem 9.** *DFEP*  $\mathscr{E}_A$  *is GAS if*  $\omega_1 > \frac{(\omega_2 + \beta)r}{\mu}$ .

*Proof.* We define a positive Lyapunov function as follows.

(18) 
$$\mathscr{V}_{A}(S,I) = \left(S - \frac{r-\mu}{\omega_{1}} - \frac{r-\mu}{\omega_{1}}\ln\frac{\omega_{1}S}{r-\mu}\right) + I.$$

If we calculate the Caputo fractional derivative of  $\mathcal{V}_A(S,I)$  along the solution of model (8) and use Lemma 3.1 in [41], we get

Since  $\omega_l > \frac{(\omega_2 + \beta)r}{\mu}$ , we have  ${}^C \mathscr{D}_t^{\alpha} \mathscr{V}_A(S, I) \leq 0$  for all  $(S, I) \in \mathbb{R}^2_+$ , and  ${}^C \mathscr{D}_t^{\alpha} \mathscr{V}_A(S, I) = 0$  only when  $(S, I) = \left(\frac{r-\mu}{\omega_1}, 0\right)$ . This means that the singleton  $\{\mathscr{E}_A\}$  is the only invariant set where  ${}^C \mathscr{D}_t^{\alpha} \mathscr{V}_A(S, I) = 0$ . By Lemma 4.6 in [42], we can conclude that every solution of model (8) tends to DFEP  $\mathscr{E}_A$ .

**Theorem 10.** *EEP*  $\mathscr{E}_I$  *is GAS if*  $\frac{\omega_2}{2} + \frac{\omega_4}{2} + \frac{\eta}{2\vartheta} < \min{\{\omega_1, \omega_3\}}.$ 

*Proof.* We first define  $\vartheta = \frac{\omega_3 \bar{\gamma} + (\eta + \mu)}{\beta - \omega_4}$  and hence  $\mathscr{E}_I = (\vartheta, \bar{\gamma})$ . Now, a positive Lyapunov function is presented as follows.

(19) 
$$\mathscr{V}_{I}(S,I) = \left(S - \vartheta - \vartheta \ln \frac{S}{\varphi}\right) + \left(I - \bar{\gamma} - \bar{\gamma} \ln \frac{S}{\bar{\gamma}}\right)$$

Following Lemma 3.1 in [41], we reach

$$= -\omega_1 (S - \vartheta)^2 - \omega_3 (I - \bar{\gamma})^2 - (\omega_2 + \omega_4) (S - S^*) (I - \bar{\gamma})$$
  
$$\leq -\left(\omega_1 - \left(\frac{\omega_2}{2} + \frac{\omega_4}{2} + \frac{\eta}{2\vartheta}\right)\right) (S - \vartheta)^2 - \left(\omega_3 - \left(\frac{\omega_2}{2} + \frac{\omega_4}{2} + \frac{\eta}{2\vartheta}\right)\right) (I - \bar{\gamma})^2$$

Denote that  ${}^{C}\mathscr{D}_{t}^{\alpha}\mathscr{V}_{I}(S,I) \leq 0$  for all  $(S,I) \in \mathbb{R}^{2}_{+}$  as a result of  $\frac{\omega_{2}}{2} + \frac{\omega_{4}}{2} + \frac{\eta}{2\vartheta} < \min\{\omega_{1},\omega_{3}\}$ . We also have that  ${}^{C}\mathscr{D}_{t}^{\alpha}\mathscr{V}_{I}(S,I) = 0$  only when  $(S,I) = (\vartheta,\bar{\gamma})$ . Therefore, the singleton  $\{\mathscr{E}_{I}\}$  is the only invariant set where  ${}^{C}\mathscr{D}_{t}^{\alpha}\mathscr{V}_{I}(S,I) = 0$ . Obeying Lemma 4.6 in [42], every solution of model (8) tends to EEP  $\mathscr{E}_{I}$ .



FIGURE 2. PRCC results for the parameters of  $\mathscr{R}_0$ 





(B) Contour plot on  $(\beta, \eta)$  – plane

FIGURE 3. Contour plots for the parameters respect to  $\mathscr{R}_0$ 



FIGURE 4. PRCC results for the parameters of I(t)

TABLE 1. PRCC results in respect to the population density of infected class
--

Parameter	Description	PRCC	Rank	Relationship with $I(t)$
$\omega_1$	The death rate of susceptible population due to the	-0.00851	6	Negative relationship
	intraspecific competition			
$\omega_2$	The death rate of susceptible population due to the	-0.01938	5	Negative relationship
	interspecific competition			
$\omega_3$	The death rate of infected population due to the in-	-0.01990	4	Negative relationship
	traspecific competition			
$\omega_4$	The death rate of infected population due to the inter-	-0.54635	1	Negative relationship
	specific competition			
β	The infection rate	0.54631	2	Positive relationship
η	The recovery rate	-0.43606	3	Negative relationship



(B) Time-series for  $\beta = 0.1, 0.2, 0.4$ , and 0.6

FIGURE 5. Bifurcation diagram and times-series of model (8) driven by the infection rate ( $\beta$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\eta$  in interval  $0 \le \eta \le 1$ 



(B) Time-series for  $\eta = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 6. Bifurcation diagram and times-series of model (8) driven by the recovery rate ( $\eta$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\omega_1$  in interval  $0 \le \omega_1 \le 1$ 



(B) Time-series for  $\omega_1 = 0.2, 0.3, 0.4$ , and 0.7

FIGURE 7. Bifurcation diagram and times-series of model (8) driven by the death rate of susceptible population due to intraspecific competition ( $\omega_1$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\omega_3$  in interval  $0 \le \omega_3 \le 1$ 



(B) Time-series for  $\omega_3 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 8. Bifurcation diagram and times-series of model (8) driven by the death rate of infected population due to intraspecific competition ( $\omega_3$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\omega_2$  in interval  $0 \le \omega_2 \le 1$ 



(B) Time-series for  $\omega_2 = 0.2, 0.4, 0.6$ , and 0.8

FIGURE 9. Bifurcation diagram and times-series of model (8) driven by the death rate of susceptible population due to interspecific competition ( $\omega_2$ ) with parameter values given by eq. (20)



(A) Bifurcation diagram driven by  $\omega_4$  in interval  $0 \le \omega_4 \le 1$ 



(B) Time-series for  $\omega_4 = 0.2, 0.4, 0.6, \text{ and } 0.8$ 

FIGURE 10. Bifurcation diagram and times-series of model (8) driven by the death rate of infected population due to interspecific competition ( $\omega_4$ ) with parameter values given by eq. (20)



FIGURE 11. Time series of model (8) with parameter values given by eq. (20) for  $\alpha = 0.7, 0.8, 0.9, 1$ . (**a,b**) Time-series for  $0 \le t \le 500$ , (**c,d**) Local amplification of (a,b) around  $0 \le t \le 10$ , and (**c,d**) Local amplification of (a,b) around  $100 \le t \le 500$ 

## 4. GLOBAL SENSITIVITY ANALYSIS

In this section, the global sensitivity analysis is studied to investigate the most influential parameters of model (8). Global sensitivity analysis is calculated using Partial Rank Coefficient Correlation (PRCC) [43], where the random data processed in PRCC is generated using Saltelli sampling [44]. Two biological components become the objective function for the PRCC namely the basic reproduction number  $(\mathscr{R}_0)$  and the population density of infected class (I(t)). We first investigate the most influential parameter to the basic reproduction number  $(\mathcal{R}_0)$ . From eq. (12), we acquire that only r,  $\mu$ ,  $\omega_1$ ,  $\omega_4$ , and  $\eta$  have the influence on the value of  $\mathscr{R}_0$ . The birth rate and the natural death rate also can be fixed since some cases in the epidemiological model has the values of these parameters. Thus, only  $\beta$ ,  $\eta$ ,  $\omega_1$ , and  $\omega_4$  will be computed for PRCC. The Figure 2 is given for the results. We have  $\beta = 0.763$ ,  $\omega_1 = -0.352$ ,  $\omega_4 = -0.33$ , and  $\eta = -0.277$  as the coefficient correlation such that the infection rate ( $\beta$ ) becomes the most influential parameter to  $\mathscr{R}_0$  and followed by  $\omega_1$ ,  $\omega_4$ , and  $\eta$ , respectively. It shows that the infection rate ( $\beta$ ) as the most influential parameter has a positive relationship with the basic reproduction number  $(\mathscr{R}_0)$  which means that  $\mathscr{R}_0$  will significantly increases when  $\beta$  increases. The rest  $\omega_1$ ,  $\omega_4$ , and  $\eta$  have a negative relationship with  $\mathscr{R}_0$  which means that by reducing the value of those parameters, the basic reproduction number  $(\mathcal{R}_0)$  will increases. To show the impact of these parameters on  $\mathcal{R}_0$ , the contour plots are also portrayed in Figure 3.

Next, we identify the most influential parameter to the population density of infected class (I(t)). Quite similar to previous work, the value of r and  $\mu$  are fixed but the rest of the parameters are involved to compute PRCC. PRCC values are computed for  $0 \le t \le 50$  which is considered sufficient enough to see the convergence for each parameter through the PRCC. We portray the PRCC results in Figure 4 while the PRCC values, ranks, and the relationship between each parameter and I(t) are given in Table 1. From those simulations, we conclude that the death rate of infected population due to interspecific competition between susceptible and infected classes ( $\omega_4$ ) become the most influential parameter to the population density (I(t)) followed respectively by  $\beta$ ,  $\eta$ ,  $\omega_3$ ,  $\omega_2$ , and  $\omega_1$ . In the next section, the numerical simulations including bifurcation diagram and time-series are presented to show the impact of the infection

rate ( $\beta$ ), recovery rate ( $\eta$ ), intraspecific competition ( $\omega_1$  and  $\omega_3$ ), and interspecific competition ( $\omega_2$  and  $\omega_4$ ) to the dynamical behaviors of model (8).

## **5.** NUMERICAL SIMULATIONS

In this section, the dynamical behaviors of model (8) including bifurcation diagram and timeseries are studied numerically. To obtain the bifurcation diagram and the corresponding timeseries of model (8), the predictor-corrector scheme developed by Diethelm et al. is employed [45]. Since the model does not investigate a specific epidemiological case, we use hypothetical parameters for all numerical simulations. we set the parameter values as follows.

(20)

$$r = 0.6, \ \mu = 0.1, \ \omega_1 = 0.1, \ \omega_2 = 0.1, \ \omega_3 = 0.1, \ \omega_4 = 0.1, \ \beta = 0.4, \ \eta = 0.2, \ \text{and} \ \alpha = 0.9$$

We start our work by investigating the impact of infection rate ( $\beta$ ) on the dynamics of model (8). The value of  $\beta$  is varied in the interval  $0 \le \beta \le 1$  and we then compute the numerical solutions. To obtain the bifurcation diagram, we plot the tail of solutions for each  $\beta$  together with the LAS condition of  $\mathscr{E}_A$ . As result, we obtain a bifurcation diagram as in Figure 5a. When  $0 \le \beta < \beta^*$ ,  $\beta^* = 0.16$ , the EEP  $\mathscr{E}_I$  does not exist and Theorem 7 is satisfied which means that DFE  $\mathscr{E}_A$  is LAS. The solution is convergent to  $\mathscr{E}_A$  which indicates the population free from disease. When  $\beta$  passes through  $\beta^*$ ,  $\mathscr{E}_A$  losses its stability, and unique LAS EEP  $\mathscr{E}_I$  occurs in the interior. The infectious disease becomes endemic in the population and still exists for all  $t \to \infty$ . From the concatenation of those biological circumstances, we conclude that forward bifurcation occurs around  $\mathscr{E}_A$  where  $\beta$  is the bifurcation parameter and  $\beta = \beta^*$  is the bifurcation point. It is easy to examine that the bifurcation point  $\beta = \beta^*$  is equal to  $\mathscr{R}_0 = 1$ . The dynamical behaviors are maintained for  $\beta^* < \beta \le 1$ . To support these conditions, some time series are given in Figure 5b to show the convergence of solutions for different values of  $\beta$ .

Next, the impact of recover rate  $(\eta)$  is studied. A similar numerical scheme as the previous way is applied. To depicts the bifurcation diagram, the parameter is fixed as in eq. (20) and the recovery rate  $(\eta)$  is varied in interval  $0 \le \eta \le 1$ . We have Figure 6a as the result. Denote that the bifurcation does not exist for this interval. Both DFEP and EEP exist with distinct stability. The DFEP  $\mathscr{E}_A$  is a saddle point while the EEP  $\mathscr{E}_I$  is LAS which confirm the validity of Theorems 6

and 7. We also confirm that the EEP  $\mathscr{E}_I$  attains GAS which means that all initial conditions will go right to the EEP and the infectious disease will exist all the time. Although the disease becomes endemic, the numerical simulation shows that the value of  $\eta$  is directly proportional to S(t) and inversely proportional to I(t), see Figure 6b. This means the population density of the infected class can be reduced by increasing the recovery rate ( $\eta$ ).

For the next simulation, the impact of intraspecific competition is investigated. The death rate parameters caused by intraspecific competition on susceptible and infected classes ( $\omega_1$  and  $\omega_3$ ) are varied in interval [0,1]. It is found that forward bifurcation occurs when  $\omega_1$  is driven where the bifurcation point is given by  $\omega_1^* = 0.5$ , see Figure 7a. The population density of both susceptible and infected classes reduces when the death rate of S(t) due to intraspecific competition increases as given by Figure 7b. Particularly, Figure 8a shows that bifurcation does not exists in interval  $0 \le \omega_1 \le 1$  when  $\omega_1$  is varied but the dynamical behaviors show that S(t)increases and I(t) decrease when  $\omega_1$  increase. We confirm this condition by giving time-series in Figure 8b.

Now, we study the impact of interspecific competition on the dynamical behaviors of model (8). Both susceptible and infected classes have died due to the existence of interspecific competition given by parameters  $\omega_2$  and  $\omega_4$ . By varying  $\omega_2$  and  $\omega_4$  in interval [0,1], we obtain Figures 9a and 10a as the bifurcation diagram. We find forward bifurcation driven by  $\omega_4$  which does not exist when varying  $\omega_1$ . This means, the EEP still exists and LAS for  $0 \le < \omega_2 \le 1$ . The EEP will disappear via forward bifurcation and the saddle DFEP becomes LAS when  $\omega_4$  crosses  $\omega_4^* = 0.34$ . This guarantees that the infectious disease may eliminate the disease in population when the death rate of the infected population due to interspecific competition increases as shown in Figure 10b. Although the disease does not disappear when  $\omega_2$  is driven, we also can see in Figure 9b that by increasing  $\omega_2$ , the population density of the infected class will reduce and the susceptible class will increase.

Finally, the impact of memory effect ( $\alpha$ ) is investigated. The numerical simulation is given by Figure 11. For  $\alpha = 0.7, 0.8, 0.9, 1$  and similar initial values, all solution converge to single equilibrium point given by  $\mathcal{E}_I \approx (1.3465, 1.0395)$ , see Figure 11(a,b). We then plot the local amplification to show the difference of solutions when  $\alpha$  is varied. We find that the difference lies in the convergence rate where for larger values of  $\alpha$ , the convergence rate increase and vice versa as shown in Figure 11(e,f). In the beginning, Figure 11(c,d) we show that when  $\alpha$  decrease, the population density of the infected class reduce. From a biological point of view, we can say that biological memory has an impact on the density of both susceptible and infected classes.

# **6.** CONCLUSION

The dynamics of a fractional-order SIS-epidemic model with intraspecific and interspecific competition have been studied. The validity of the model has been confirmed analytically by showing the existence, uniqueness, non-negativity, and boundedness of solutions. Three equilibrium points have been obtained namely the origin, the disease-free equilibrium point, and the endemic equilibrium point. Both origin and disease-free equilibrium points always exist while the endemic equilibrium point conditionally exists. The basic reproduction number  $\mathscr{R}_0$ has been given which has a relationship with the local stability of the model. If  $\Re_0 < 1$  then the disease-free equilibrium point is locally asymptotically stable and if  $\mathscr{R}_0 > 1$  then the diseasefree equilibrium point losses its stability along with the existence of a locally asymptotically stable endemic equilibrium point. The global stability conditions of equilibrium points also have been found. The PRCC has been worked to investigate the most influential parameter. We have successfully shown that the infection rate and the death rate of the infected population due to interspecific competition becomes the most influential parameter for basic reproduction number and the population density of the infected class. We then investigate the impact of several parameters using numerical simulations including the infection rate, the recovery rate, the intraspecific competition, the interspecific competition, and the memory effect on the dynamics of the model. Bifurcation diagrams and time series have been given which show the existence of forward bifurcation, the decrease of susceptible and infected classes, and the decrease of convergence rate caused by the memory effect.

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#### **CONFLICT OF INTERESTS**

The author(s) declare that there is no conflict of interests.

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